

# Differential Geometry II

Ulrich Bunke

## Contents

<b>1</b>	<b>Riemannian manifolds - further examples</b>	<b>2</b>
1.1	Generalities . . . . .	2
1.2	Warped products . . . . .	5
1.3	Bundles . . . . .	7
1.4	Spaces of loops . . . . .	9
1.5	Space of connections . . . . .	13
<b>2</b>	<b>The group of Isometries</b>	<b>15</b>
2.1	$G$ -structures . . . . .	15
2.2	Transformation groups . . . . .	21
2.3	Automorphism groups of structures . . . . .	27
2.4	The isometry group as a Lie transformation group . . . . .	31
2.5	Manifolds with large isometry groups . . . . .	34
<b>3</b>	<b>Construction of E examples from Lie groups</b>	<b>36</b>
3.1	Symmetric spaces . . . . .	36
3.2	Example $S^n$ and $SO(n+1)$ . . . . .	43
3.3	$H^n$ and $SO(1, n)$ . . . . .	43
3.4	$\mathbb{C}P^n$ and $U(n+1)$ . . . . .	45

3.5	$G$ and $G \times G$ . . . . .	47
<b>4</b>	<b>Complex manifolds and the Kähler condition</b>	<b>47</b>
4.1	Complex manifolds . . . . .	47
4.2	The complex projective space . . . . .	51
4.3	The Fubini-Study metric . . . . .	52
4.4	Kähler geometry . . . . .	53
<b>5</b>	<b>De Rham cohomology</b>	<b>56</b>
5.1	Basic theory . . . . .	56
5.2	Cohomology of quotients . . . . .	60
5.3	Chern-Weil theory - characteristic classes . . . . .	68
5.4	Duality . . . . .	73
<b>6</b>	<b>Riemannian geometry and de Rham cohomology</b>	<b>80</b>
6.1	Hodge $*$ . . . . .	80
6.2	The Hodge decomposition . . . . .	82
6.3	De Rham cohomology of complex manifold . . . . .	90
6.4	The Kähler package . . . . .	95
6.5	Lefschetz theory . . . . .	101

# 1 Riemannian manifolds - further examples

## 1.1 Generalities

want to explain a couple of constructions of Riemannian manifolds and their basic properties

up to now:

- every manifold has a Riemannian metric

- glue local metrics using a partition of unity
- these metrics do not have interesting special properties

a basic property is completeness

- if  $g$  is any metric on  $M$
- can find conformal change  $e^f g$  which is in addition complete

often one is interesting in metrics with symmetry

- assume that a Lie group  $H$  acts on  $M$

**Lemma 1.1.** *If  $H$  acts properly, then there exists a  $H$ -invariant metric on  $M$ .*

*Proof.* idea: take any metric  $g$  on  $M$ , average over  $H$

- $H$  as locally compact group has right-invariant Haar measure  $dh$
- $R_{l,*}dh = dh$  for all  $l$  in  $H$
- $dh$  unique up to normalization
- $H$  is Lie group  $\Rightarrow dh$  represented by a  $H$ -invariant volume form

idea works immediately if  $H$  compact:

- $H$  compact  $\Rightarrow$  can normalize volume such that  $\int_H dh = 1$
  - set  $\bar{g} := \int_H h^* g dh$
  - check:  $l^* \bar{g} = \int_H l^* h^* g dh = \int_H (hl)^* g dh = \int_H h^* g R_{l^{-1},*} dh = \int_H h^* g dh = \bar{g}$
- choose any metric  $g$

if  $H$  is non-compact:

- can not normalize  $dh$  ( $H$  has infinite volume)
- by properness of the action can choose a function  $\chi$  in  $C_c(M)$  with
- $\chi \geq 0$

$$- \int_{h \in H} h^* \chi dh = 1$$

$$- \text{define } \bar{g} := \int_{h \in H} h^{-1,*} \chi h^* g dh$$

check:

$$\begin{aligned} l^* \bar{g} &= \int_{h \in G} l^* h^* \chi l^* h^* g dh \\ &= \int_{h \in G} (hl)^* \chi (hl)^* g dh \\ &= \int_{h \in G} h^* \chi h^* g R_{l^{-1},*} dh \\ &= \int_{h \in G} h^* \chi h^* g dh \\ &= \bar{g} \end{aligned}$$

□

**Example 1.2. Exercise?**

$\mathbb{R}^\times$  acts on  $\mathbb{R}$  by multiplication

$\mathbb{R}$  has no  $\mathbb{R}^\times$ -invariant metric

- assume that  $g$  is such a metric
- $g = f(x)dx^2$  with  $f > 0$
- $t^*g = f(tx)t^2dx$  for all  $t$  in  $\mathbb{R}^\times$
- at  $x = 0$  get  $f(0) = t^2f(0)$
- this implies  $f(0) = 0$  (consider limit for  $t \rightarrow 0$ )
- contradicts  $f > 0$

What goes wrong?

$\mathbb{R}^\times$  does not act properly

- it does act properly on  $\mathbb{R} \setminus \{0\}$

- then  $x^{-2}dx^2$  is invariant metric

□

$M \rightarrow \mathbb{R}^n$  - submanifold

- has induced metric

- can describe properties by second fundamental form, Gauss-Codazzi equations

**Problem 1.3.** *Given  $(M, g)$ , is there an isometric embedding  $M \rightarrow \mathbb{R}^n$  for some  $n$ ?*

- Whitney: there is an embedding as manifolds if  $n \geq 2 \dim(M)$ .

- Nash: There is an isometric embedding for  $n \gg \dim(M)$

## 1.2 Warped products

**Construction 1.4.**  $(N, g^N)$  Riemannian manifold

$f : \mathbb{R} \rightarrow (0, \infty)$  - warping function

$M := \mathbb{R} \times N$

$g^M := dr^2 + f(r)g^N$

$(M, g^M)$  is called warped product

sometimes one replaces  $\mathbb{R}$  by subintervals

□

**Example 1.5.**  $\mathbb{R}^n = \mathbb{R} \times \mathbb{R}^{n-1}$

- coordinates  $(x, x')$

-  $g^{\mathbb{R}^n} = dx^2 + g^{\mathbb{R}^{n-1}}$

- constant warping

□

**Example 1.6.** cylinder over  $(N, g)$

$M = \mathbb{R} \times N$

-  $g^M = dr^2 + g^N$

$\mathbb{R}^n$  is cylinder over  $\mathbb{R}^{n-1}$

□

**Example 1.7.**  $H^n$

- upper half space model  $H^n = \{(x, x') \in \mathbb{R} \times \mathbb{R}^{n-1} \mid x > 0\}$

-  $g^H = \frac{1}{x^2} g^{\mathbb{R}^n}$

- solve  $dr^2 = \frac{dx^2}{x^2}$

-  $dr = \frac{dx}{x}$

-  $r = \ln(x)$

-  $x = e^r$

$x = e^r$

$H^n = \mathbb{R} \times \mathbb{R}^{n-1}$

-  $g^H = dr^2 + e^{-2r} g^{\mathbb{R}^{n-1}}$

□

**Example 1.8.** cusp over  $(N, g^N)$

-  $g^M = dr^2 + r^{-2r} g^N$

$H^n \setminus \{0\}$  is cusp over  $\mathbb{R}^{n-1}$

□

**Example 1.9.** euclidean cone

- replace  $\mathbb{R}$  by  $(0, \infty)$

-  $M = (0, \infty) \times N$

-  $g^M = dr^2 + r^2 g^N$

- not complete at  $t = 0$

$\mathbb{R}^n \setminus \{0\}$  is euclidean cone over  $S^{n-1}$  (Polar coordinates)

- in this case can complete at  $t = 0$

□

$(N, g^N)$ ,  $f$  given

$(M, g^M)$  warped product

**Lemma 1.10.**  $(M, g^M)$  is complete if and only if  $(N, g^N)$  is complete

*Proof.* **exercise?**

- $(t, x)$  in  $M$
- $B((t, x), r)$  is contained in  $[t - r, t + r] \times N$
- $B((t, x), r)$  is contained in  $[t - r, t + r] \times B(x, s)$  with  $s := \frac{1}{\min_{u \in [t-r, t+r]} f(u)}$
- this is compact by completeness of  $(N, g^N)$  □

**Example 1.11.** volume

$$\text{vol}_g = dr + f(r)^{\frac{n-1}{2}} \text{vol}_{g^N}$$

**Lemma 1.12.** If  $N$  is compact, then  $\text{vol}(M, g^M)$  is finite if and only if  $\int_{\mathbb{R}} f(r)^{\frac{n-1}{2}} dr < \infty$ .

$(N, g^N)$ ,  $f$  given

$(M, g^M)$  warped product

**Example 1.13.** **exercise?** When is the fibre  $N_t := \{t\} \times N$  totally geodesic?

Answer: If and only if  $f'(t) = 0$  □

### 1.3 Bundles

$\pi : M \rightarrow B$  fibre bundle

$g^M$  and  $g^B$  Riemannian metrics on  $M$  and  $B$

**Definition 1.14.**  $\pi$  is called a Riemannian submersion if  $D\pi : TM \rightarrow \pi^*TB$  is an isometry.

- get orthogonal decomposition  $TM \cong T^v\pi \oplus T^v\pi^\perp$
- set  $T^hM := T^v\pi^\perp$  - this is a connection
- $D\pi$  induces isometry  $T^v\pi^\perp \cong \pi^*TB$

reverse construction

choose connection  $TM = T^v\pi \oplus T^hM$

choose

-  $g^B$  -metric on  $B$

-  $g^{T^v\pi}$  vertical metric

define:  $g^M := g^{T^v\pi} \oplus \pi^*g^B$

- then  $\pi$  is Riemannian submersion

**Example 1.15.** warped products are examples

$\pi : \mathbb{R} \times N \rightarrow \mathbb{R}$

-  $g^{\mathbb{R}} = dr^2$

- connection is  $TN \subseteq T\mathbb{R} \boxplus TN = T(\mathbb{R} \times N)$

-  $f(r)g^N$  is  $g^{T^v\pi}$

□

**Lemma 1.16.** *If  $\pi$  is proper and  $B$  is complete, then  $M$  is complete.*

*Proof.* **Exercise?**

fix  $m$  in  $M$

fix  $r$  in  $(0, \infty)$

-  $B(m, r) \subseteq \pi^{-1}B(\pi(m), r)$

- this is compact since  $\pi$  is proper and  $(B, g^B)$  is complete

□

**Example 1.17.**  $G$ -principal bundles

$\pi : P \rightarrow B$  -  $G$ -principal bundle

-  $g^{\mathfrak{g}}$  - Ad-invariant metric on  $\mathfrak{g}$

- action defines isomorphism  $T_p^v \cong \mathfrak{g}$  at every  $p$  in  $P$

- define  $g^{T^v\pi}$  so that this is isometric

- choose principal bundle connection  $\omega$

- choose metric  $g^B$

- get metric  $g^P := g^{T^v\pi} \oplus \pi^*g^B$



**Lemma 1.18.**  $g^P$  is  $G$ -invariant.

*Proof.* **Exercise**

use

$$\begin{array}{ccc} T_p^v \pi & \xrightarrow{TR_g} & T_{pg}^v \pi \\ \downarrow & & \downarrow \\ \mathfrak{g} & \xrightarrow{\text{Ad}(g^{-1})} & \mathfrak{g} \end{array}$$

□

□

## 1.4 Spaces of loops

$(W, g^W)$  Riemannian manifold

$L(W) := C^\infty(S^1, W)$  - loop space

- this is a set for the moment, more structure later

$\gamma$  in  $L(W)$

-  $(\gamma_u)_{u \in I}$  smooth family of loops at  $\gamma$

- this is a map  $S^1 \times I \rightarrow W$ ,  $(t, u) \mapsto \gamma_u(t)$

- write  $(-)'$  for derivative w.r.t.  $u$

-  $\gamma'_0 \in \Gamma(S^1, \gamma^*TW)$

- interpret  $\Gamma(S^1, \gamma^*TW)$  as  $T_\gamma L(W)$

- define scalar product for  $Y, X$  in  $T_\gamma L(W)$

-  $\langle X, Y \rangle := \int_{S^1} g^W(X(t), Y(t)) dt$

want to interpret this as Riemannian metric  $g^{L(W)}$  on  $L(W)$

- consider  $f : S^1 \times M \rightarrow W$  - interpret as map  $f : M \rightarrow L(W)$

- get a scalar product  $\langle -, - \rangle$  on  $T_m M$  by:

- $\gamma := f(-, m)$
- $d_X f(-, m)$  is in  $T_\gamma L(W)$
- $\langle X, Y \rangle := \langle d_X f, d_Y f \rangle$

this should be  $f^*g^{L(W)}$

- problem:  $L(W)$  is not a manifold (infinite-dimensional)

use the language of diffeological spaces

- $L(W)$  is diffeological space:

**Cart** - category of open subsets of euclidean spaces  $\mathbb{R}^n$  (for any  $n$ ) and smooth maps

**Definition 1.19.** A cartesian sheaf is a functor  $F : \mathbf{Cart}^{\text{op}} \rightarrow \mathbf{Set}$  such that for every  $U$  and open covering  $(U_i)$  we have

$$F(U) = \mathbf{eq}\left(\prod_i F(U_i) \rightrightarrows \prod_{i,j} F(U_i \cap U_j)\right) .$$

A morphism between cartesian sheaves is a natural transformation.

get category  $\mathbf{Sh}(\mathbf{Cart})$  of cartesian sheaves

**Example 1.20.** example:  $X$  a set

$X(U) := \text{Hom}_{\mathbf{Set}}(U, X)$  is a sheaf

□

**Definition 1.21.** A concrete cartesian sheaf is a subsheaf of a cartesian sheaf of the form  $X(-)$  for some set  $X$ .

**Remark 1.22.**  $X$  - concrete sheaf

- can recover set  $X := X(*)$
- $u$  in  $U$  is map  $u : * \rightarrow U$
- interpret  $\phi$  in  $X(U)$  as map  $U \rightarrow X(*)$
- $U \ni u \mapsto u^*\phi \in X(*)$
- $X(U)$  is a subset of  $\text{Hom}_{\mathbf{Set}}(U, X(*))$

□

**Example 1.23.** not every cartesian sheaf is concrete

- consider  $\Omega^1 : U \mapsto \Omega^1(U)$  - sheaf of smooth 1-forms
- $\Omega^1(*) = \{0\}$
- $\Omega^1(\mathbb{R})$  is large

□

**Definition 1.24.** A diffeological space is a subsheaf of a concrete sheaf.

get a full subcategory  $\mathbf{Mf}_{Dif}$  of  $\mathbf{Sh}(\mathbf{Cart})$  of diffeological spaces

- this is category of diffeological spaces

**Example 1.25.** manifolds

$M$  a manifold

- induces a diffeological space  $M_{\text{Diff}}(-)$

$$M_{\text{Diff}}(U) := C^\infty(U, M)$$

one can recover  $M$  from  $M_{\text{Diff}}$

- underlying set  $M_\infty(*)$
- then  $M_{\text{Diff}}(U) \subseteq \text{Hom}_{\mathbf{Set}}(U, M_\infty(*))$  induced by
- $\phi \mapsto (u \mapsto u^*\phi)$  (here  $u \in U$  is map  $* \rightarrow U$ )
- topology on  $M(*)$ : maximal such that all maps in  $M_{\text{Diff}}(U)$  are continuous
- smooth structure: characterize smooth functions:  $f : M(*) \rightarrow \mathbb{R}$  is smooth if  $\phi^*f : U \rightarrow \mathbb{R}$  is smooth for all  $\phi$  in  $M_{\text{Diff}}(U)$

a map of manifolds  $f : M \rightarrow N$  induces map  $f_{\text{Diff}} : M_{\text{Diff}} \rightarrow N_{\text{Diff}}$  of diffeological spaces

- one can recover  $f$  from  $f_{\text{Diff}}$

**Lemma 1.26.** We have a fully faithful unctor  $\mathbf{Mf} \rightarrow \mathbf{Mf}_{\text{Diff}}$ .

□

**Example 1.27.** many more examples of the following kind

- $B$  Banach space
- $B_{\text{Diff}}(U) := C^\infty(U, B)$  makes sense
- get  $B_{\text{Diff}}$  - diffeological space □

**Example 1.28.** -  $X$  topological space

- $X_{\text{Diff}}(U) := \text{Hom}_{\mathbf{Top}}(U, X)$  makes sense
- get  $X_{\text{Diff}}$  - diffeological space
- in general can not recover  $X$  from  $X_{\text{Diff}}$
- can recover underlying set as  $X(*)$
- maximal topology such that all maps  $\phi : U \rightarrow X(*)$  for  $\phi \in X(U)$  are continuous is in general larger than original topology □

**Example 1.29.** Mapping spaces between manifolds

this example is the main reason to consider diffeological spaces

$\text{Hom}_{\mathbf{Mf}}(M, N)$  extends naturally to a diffeological space

- $\text{Hom}_{\mathbf{Mf}}(M, N)_{\text{Diff}}(U) := \text{Hom}_{\mathbf{Mf}}(U \times M, N)$
- apply to loop space  $L(W) := \text{Hom}(S^1, W)$
- get  $L(W)_{\text{Diff}}$  □

**Example 1.30.** can talk about smooth functions, or forms on diffeological spaces

$$C^\infty(X) := \text{Hom}_{\mathbf{Mf}_{\text{Diff}}}(X, \mathbb{R}_{\text{Diff}})$$

$$\Omega^n(X) := \text{Hom}_{\mathbf{Sh}(\mathbf{Cart})}(X, \Omega^n)$$

- de Rham complex  $d : \Omega^n(X) \rightarrow \Omega^{n+1}(X)$  makes sense □

use same idea to interpret metrics

- have sheaf  $S^2T$  in  $\mathbf{Sh}(\mathbf{Cart})$

- $S^2T(U) = \Gamma(U, S^2TU)$
- has subsheaf  $S^2_{\geq 0}T$  - non-negative symmetric tensors
- can not define sheaf of metrics  $S^2_{> 0}T$  since positivity is not preserved under pull-back
- can only define a notion of possibly degenerate metric
- this makes all construction problematic which use the inverse

**Definition 1.31.** A possibly degenerate metric on a diffeological space  $M$  is a map  $g : M \rightarrow S^2_{\geq 0}T$  in  $\mathbf{Sh}(\mathbf{Cart})$ .

**Example 1.32.** If  $(M, g)$  is Riemannian

- get possibly degenerate metric on  $M_{\text{Diff}}$
- can recover  $g$  from this □

**Example 1.33.**  $(W, g^W)$  - Riemannian

- $L(W)_{\text{Diff}}$  has canonical possibly degenerate Riemannian structure
- the embedding  $W_{\text{Diff}} \rightarrow L(W)_{\text{Diff}}$  (as constant loops) is isometric □

**Example 1.34.**  $\gamma \mapsto E(\gamma)$  is a map  $L(W)_{\text{Diff}} \rightarrow \mathbb{R}_{\text{Diff}}$

□

**Remark 1.35.** in order to model all aspects of tangent bundle diffeologically:

- must enlarge category  $\mathbf{Cart}$  by adding fat points like  $*^2 := \mathbb{R}[x]/(x^2)$
- $TM = \text{Hom}(*^2, M)$  (in the sense of ringed spaces)
- element is a homomorphism  $C^\infty(M) \rightarrow \mathbb{R}[x]/(x^2)$
- this is a point  $m$  and a derivation  $X \in T_m M$ :
- $f \mapsto f(m) + X(f)x$
- $* \rightarrow *^2$  corepresents projection  $TM \rightarrow M$  □

## 1.5 Space of connections

$V \rightarrow M$  vector bundle

$\text{Conn}(V)$  - set of connections

- can turn  $\text{Conn}(V)$  into diffeological space  $\text{Conn}(V)_{\text{Diff}}$

consider vector bundle  $W \rightarrow N \times M$

**Definition 1.36.** A partial connection on  $W$  along  $M$  is a  $\mathbb{R}$ -linear map

$$\nabla : \Gamma(N \times M, V) \rightarrow \Gamma(N \times M, \text{pr}_M^* T^* M \otimes V)$$

satisfying the Leibnitz rule

$$\nabla_X(fv) = f\nabla_X v + X(f)v$$

for all  $X$  in  $\Gamma(N \times M, \text{pr}_M^* TM)$ ,  $f$  in  $C^\infty(N \times M)$ , and  $v$  in  $\Gamma(N \times M, V)$ .

$\text{Conn}_M(V)$  - set of partial connections

- is an affine space over  $\Gamma(N \times M, \text{pr}_1^* T^* M \otimes \text{End}(V))$

**Definition 1.37.** The diffeological space  $\text{Conn}_{\text{Diff}}(V)$  is defined by

$$\text{Conn}_{\text{Diff}}(V)(U) := \text{Conn}_M(\text{pr}_M^* V) .$$

assume:  $M$  is Riemannian and compact

- consider metric on  $V$
- induces notion of adjoint
- get metric on  $\text{End}(V)$  by  $\langle A, B \rangle := \text{tr} A^* B$
- get metric on  $\text{pr}_1^* T^* M \otimes \text{End}(V)$  by combining

get metric on  $\text{Conn}_{\text{Diff}}(V)$ :

- fix  $\nabla$  in  $\text{Conn}_{\text{Diff}}(V)(U)$
- $X, Y$  in  $T_u U$
- $d_X \nabla(u) \in \Gamma(M, T^* M \otimes \text{End}(V))$
- $g(X, Y) = \int_M \langle d_X \nabla(u)(m), d_Y \nabla(u)(m) \rangle dg^M$

in gauge theory

- consider functions like  $\nabla \mapsto \int_M \|R^\nabla\|^2 dg^M$  (Yang-Mills functional)
- this is smooth function:  $\text{Conn}_{\text{Diff}}(V) \rightarrow \mathbb{R}$
- metric allows to consider gradient and gradient flow

## 2 The group of Isometries

### 2.1 $G$ -structures

recall:

$M$  - manifold,  $\dim(M) = n$

- have frame bundle  $\text{Fr}(M) \rightarrow M$
- a  $GL(n, \mathbb{R})$ -principal bundle
- $m$  in  $M$ ,  $e$  in  $\text{Fr}(M)_m$  is isomorphism  $e : \mathbb{R}^n \rightarrow T_m M$
- $GL(n, \mathbb{R})$ -action by  $e \cdot g := e \circ g$

$f : M \rightarrow M'$  local diffeomorphism

- $f$  induces  $\text{Fr}(f) : \text{Fr}(M) \rightarrow \text{Fr}(M')$
- $\text{Fr}(f)(e) := Tf(\pi(e)) \circ e$

$\kappa : G \rightarrow GL(n, \mathbb{R})$  homomorphism of Lie groups

**Definition 2.1.** A  $G$ -structure on  $M$  is a  $G$ -reduction  $(Q, r)$  of the frame bundle.

recall notion of  $G$ -reduction :

- $Q \rightarrow M$  is  $G$ -principal bundle
- $r : Q \rightarrow \text{Fr}(M)$  is  $G$ -equivariant bundle map:

$$\begin{array}{ccc}
 Q \times G & \longrightarrow & Q \\
 \downarrow r \times \kappa & & \downarrow r \\
 \text{Fr}(M) \times GL(n, \mathbb{R}) & \longrightarrow & \text{Fr}(M)
 \end{array}$$

notion of equivalence:

$$\begin{array}{ccc}
 Q & \xrightarrow{\cong} & Q' \\
 & \searrow r & \swarrow r' \\
 & \text{Fr}(M) &
 \end{array}$$

consider special case:  $\kappa : G \rightarrow GL(n, \mathbb{R})$  is inclusion of a sub Liegroup

-  $r$  identifies  $Q$  with a subbundle of  $\text{Fr}(M)$

**Corollary 2.2.** *If  $\kappa$  is an inclusion of a sub-Lie group, then a  $G$ -structure on  $M$  is a  $G$ -principal subbundle  $Q$  of  $\text{Fr}(M)$ .*

$(M, Q), (M', Q')$  manifolds with  $G$ -structures

$f : M \rightarrow M'$  local diffeomorphism

**Definition 2.3.**  $f$  preserves the  $G$ -structures if  $\text{Fr}(f)(Q) = Q'$ .

**Remark 2.4.** If  $\kappa$  is not injective, then the notion of preservation of  $G$ -structure is additional structure

- a lift of  $\text{Fr}(f)$

$$\begin{array}{ccc}
 Q & \xrightarrow{\widetilde{\text{Fr}(f)}} & Q' \\
 \downarrow r & & \downarrow r' \\
 \text{Fr}(M) & \xrightarrow{\text{Fr}(f)} & \text{Fr}(M') \\
 \downarrow & & \downarrow \\
 M & \xrightarrow{f} & M'
 \end{array}$$

this applies e.g. to  $Spin(n)$ -structures

□

**Example 2.5.** Orientation is  $GL(n, \mathbb{R})^+$ -reduction

**Example 2.6.** choice of volume form is  $SL(n, \mathbb{R})$  - reduction

□



**Example 2.7.** choice of Riemannian metric is  $O(n)$  - reduction □

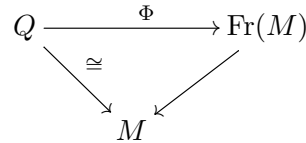
**Example 2.8.**  $U(n) \subseteq GL(n, \mathbb{C}) \subseteq GL(2n, \mathbb{R})$   
 reductions are called almost complex structures □

**Example 2.9.**  $Sp(n) \subseteq GL(2n, \mathbb{R})$   
 reductions are called symplectic structures □

**Example 2.10.**  $Spin(n) \xrightarrow{2:1} SO(n) \rightarrow GL(n, \mathbb{R})$   
 a  $Spin(n)$  - reduction is a spin structure □

**Example 2.11.** consider  $G = 1$

- an 1-structure is a section  $\Phi$  of  $\text{Fr}(M)$



- is a trivialization  $\Phi : M \times \mathbb{R}^n \rightarrow TM$  □

general principle:

$V$  - real vector space

-  $\mathcal{T}_l^k(V) := \underbrace{V \otimes \dots \otimes V}_{k \times} \otimes \underbrace{V^* \otimes \dots \otimes V^*}_{l \times}$

-  $\text{Aut}(V)$  acts on  $\mathcal{T}_l^k(V)$  by functoriality

consider element  $K \in \mathcal{T}_l^k(\mathbb{R}^n)$

- define  $G \subseteq GL(n, \mathbb{R})$  as stabilizer of  $K$

- given  $Q \rightarrow M$  - a  $G$ -structure

- form  $\mathcal{T}_l^k(TM) \cong Q \times_G \mathcal{T}_l^k(\mathbb{R}^n)$  - bundle of  $(k, l)$ -tensors

-  $K$  induces section  $\mathcal{K}$  in  $\Gamma(M, \mathcal{T}_l^k(TM))$ :

- value at  $m$  in  $M$ :  $\mathcal{K}(m) = [e, K]$  for any  $e$  in  $Q_m$
- note  $[eg, K] = [e, gK] = [e, K]$  for  $g \in G$
- so  $\mathcal{K}(m)$  well-defined independently of choice of  $e$

given  $\mathcal{K}$  can recover  $Q$  from section  $\mathcal{K}$

- take subset of frames  $e$  in  $\text{Fr}(M)$  such that  $[e, K] = \mathcal{K}(\pi(e))$

**Example 2.12.** Riemannian metrics

$$K = \sum_{i=1}^n e_i^* \otimes e_i^* \text{ in } S^2(\mathbb{R}^{n,*}) \subseteq \mathcal{T}^2(\mathbb{R}^{n,*})$$

- is positive definite
- all positive definite are isomorphic to this one
- stabilizer:  $O(n)$
- a metric on  $M$  defines  $O(n)$ -structure  $Q \subseteq \text{Fr}(M)$
- $e \in Q_m$  if and only if  $e : \mathbb{R}^n \rightarrow T_m M$  isometric
- $Q$  is the subbundle of orthogonal frames

□

**Example 2.13.**  $K := e_1 \wedge \cdots \wedge e_n \in \Lambda^n \mathbb{R}^{n,*} \subseteq \mathcal{T}^n(\mathbb{R}^{n,*})$

- all volume forms are isomorphic to this one
- $SL(n, \mathbb{R})$  is stabilizer
- $SL(n, \mathbb{R})$  - structure on  $M$  is equivalent to datum of volume form  $\mathcal{K} \in \Omega^n(M)$

□

**Example 2.14.**  $\mathbb{R}^{2n} \cong \mathbb{C}$

- $I \in \text{End}(\mathbb{R}^{2n})$  - multiplication by  $I$
- $I^2 = -1$
- every endomorphism  $J$  of  $\mathbb{R}^{2n}$  with  $J^2 = -1$  is conjugated to  $I$
- $\text{End}(\mathbb{R}^{2n}) \cong \mathbb{R}^{2n,*} \otimes \mathbb{R}^{2n} = \mathcal{T}_1^1(\mathbb{R}^{2n})$

- stabilizer  $GL(n, \mathbb{C})$

$GL(n, \mathbb{C})$ -structure is the same as a section  $\mathcal{I} \in \Gamma(M, \text{End}(TM))$  with  $\mathcal{I}^2 = -1$

- called an almost complex structure □

**Example 2.15.** almost symplectic structure

consider  $\mathbb{R}^{2n}$

-  $\omega = e_1^* \wedge e_{n+1}^* + \cdots + e_n^* \wedge e_{2n}^* \in \Lambda^2 \mathbb{R}^{n,*}$

- every non-degenerate alternating form is isomorphic to  $\omega$  under  $GL(2n, \mathbb{R})$

- stabilizer is  $Sp(n)$

-  $Sp(n)$ -structure is determined by form  $\omega \in \Omega^2(M)$  everywhere non-degenerate □

fix tensor  $K \in \mathcal{T}_l^k(\mathbb{R}^n)$ , stabilizer  $G$

$G$ -structure on  $M$  determined by  $\mathcal{K} \in \Gamma(M, \mathcal{T}_l^k(TM))$

- can one find coordinates locally such that  $K = \mathcal{K}$

- in this case we call the  $G$ -structure flat

- always possible for  $SL(n, \mathbb{Z})$ -structure

- for almost symplectic:

- necessary and sufficient condition  $d\omega = 0$  (Darboux theorem)

- in this case structure is called symplectic structure

- not always possible for Riemannian metric:

- necessary and sufficient condition:  $R^{\nabla^{LC}} = 0$

- in this case  $(M, g)$  is called flat

- not always possible for almost complex structure

-  $T^{0,1}M$  - consider  $-1$ -eigenspace of  $\mathcal{I} \otimes 1$  on  $TM \otimes_{\mathbb{R}} \mathbb{C}$

- this subbundle of  $TM \otimes_{\mathbb{R}} \mathbb{C}$  must be involutive

— commutator of sections is again a section of the subbundle (Newlander-Nierenberg Theorem)

– in this case  $(M, \mathcal{I})$  is called complex

– has charts with values in  $\mathbb{C}^n$  and holomorphic transition maps

**Example 2.16.**  $T^*M$  has a symplectic structure

$$\pi : T^*M \rightarrow M$$

$$- T\pi : T(T^*M) \rightarrow T^*M$$

- define  $\alpha$  in  $\Omega^1(T^*M)$  - canonical 1-form

$$— \xi \in T_m^*M$$

$$— X \in T_\xi(T^*M)$$

$$- \alpha(X) := \xi(T\pi(\xi)(X))$$

$$— \text{in fact: } T\pi(\xi)(X) \in T_mM$$

— so can apply  $\xi$

define:  $\omega := d\alpha$

- clear  $d\omega = 0$

- check:  $\omega$  is non-degenerate

- local coordinates of  $M : x_1, \dots, x_n$

- local coordinates of  $T^*M : x_1, \dots, x_n, \xi^1, \dots, \xi^n$

$$- \pi(x, \xi) = x$$

$$- X = X^i \partial_{x^i} + Y_j \partial_{\xi_j}$$

$$- T\pi(\xi)(X) = X^i \partial_{x^i}$$

$$- \xi(T\pi(\xi)(X)) = \xi_i X^i$$

$$- \text{read off: } \alpha = \xi_i dx^i$$

$$- \omega = d\alpha = d\xi_i \wedge dx^i - \text{this is obviously non-degenerated}$$

– here flatness is clear: we have found suitable coordinates explicitly

□

## 2.2 Transformation groups

**Definition 2.17.** A Lie transformation group is a triple  $(G, M, a)$  of a Lie group  $G$ , a manifold  $M$  and an effective action  $a : G \times M \rightarrow M$ .

- effective means:  $G \rightarrow \text{Diff}(M)$  is injective

get map  $\mathfrak{g} \rightarrow \mathcal{X}(M)$ ,  $X \mapsto X^\sharp$

-  $X^\sharp$  - fundamental vector field for  $X$

-  $X^\sharp(m) = d_1 a(e, m)(X)$

for  $X$  in  $\mathcal{X}(M)$

- write  $\exp(tX)m$  for the value of flow at time  $t$  with start in  $m$

- recall:  $X$  is called complete if  $\exp(tX)m$  exists for all  $t$  in  $\mathbb{R}$  and  $m$  in  $M$

- write  $\mathcal{X}^c(M) := \{X \in \mathcal{X}(M) \mid X \text{ is complete}\}$  - set of complete vector fields

consider transformation group  $(G, M, a)$ ,

-  $\mathfrak{g} \subseteq \mathcal{X}(M)$

**Lemma 2.18.** We have  $\mathfrak{g} \subseteq \mathcal{X}^c(M)$ .

*Proof.* write  $e^{tX}$  for one-parameter group in  $G$  generated by  $X$

- claim:  $\exp(tX)m = e^{tX}m$  (**exercise**)

- claim shows assertion

□

**Lemma 2.19.** The map  $\mathfrak{g} \rightarrow \mathcal{X}(M)$  is injective.

*Proof.* assume:  $X$  in  $\mathfrak{g}$  is in kernel

- then  $e^X m = \exp(X)m = m$  for all  $m$

- conclude:  $e^X$  acts trivially

- contradicts effectiveness

□

forming fundamental vector fields realizes  $\mathfrak{g}$  as sub-Lie algebra of  $\mathcal{X}(M)$

can reconstruct transformation group  $(G, M, a)$  from  $\mathfrak{g}$

**Theorem 2.20** (Palais). *If  $\mathfrak{g}$  is a finite-dimensional sub-Lie algebra of  $\mathcal{X}^c(M)$ , then there exists a unique Lie transformation group  $(G, M, a)$  with  $G$  connected and Lie algebra  $\mathfrak{g}$ .*

*Proof.*

want to define  $G$  as group generated in  $\text{Aut}_{\text{MF}}(M)$  by  $\exp(X)$  for  $X$  in  $\mathfrak{g}$

- $\tilde{G}$  - simply connected Lie group with Lie algebra  $\mathfrak{g}$
- want to see that  $\tilde{G}$  acts on  $M$  such that  $e^{tX}m = \exp(tX)m$
- obtain  $G$  as quotient  $\tilde{G}/G_M$  where  $G_M$  - stabilizer of  $M$
- But not clear that this action is well-defined!

in order to show this we use fibre bundle theory:

- consider  $\tilde{G} \times M \rightarrow \tilde{G}$  as fibre bundle
- $\tilde{G}$  acts on  $\tilde{G} \times M$  by  $h(g, m) = (hg, m)$

define  $\tilde{G}$ -invariant connection on  $\tilde{G} \times M$

- give horizontal subbundle  $L$  of  $T(\tilde{G} \times M)$
- generated at  $(g, m)$  by  $(gX, X(m))$  for all  $X$  in  $\mathfrak{g}$
- this subbundle is  $\tilde{G}$ -invariant
- check: this subbundle is involutive, i.e., defines a flat connection

in general for flat connection: for any  $(g, m)$  in  $M$  get unique local horizontal lift

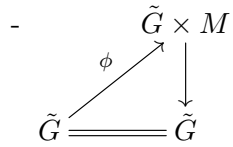
$$\begin{array}{ccc}
 & \tilde{G} \times M & \\
 \nearrow \phi & & \downarrow \\
 U & \xrightarrow{\subseteq} & \tilde{G}
 \end{array}$$

- $U$  is open nbhd of  $g$

-  $\phi(g) = (g, m)$

now use:  $\tilde{G}$  is simply connected

- for any  $(g, m)$  in  $M$  get unique global horizontal lift



-  $\phi(g) = (g, m)$

write  $\psi_m$  for unique lift with  $\psi_m(e) = (e, m)$

- identify set  $M$  with set these horizontal maps

-  $\tilde{G}$  acts on this set

- so  $\tilde{G}$  acts on  $M$  such that  $g\psi_m = \psi_{g^{-1}m}$

show that this is the desired action

-  $X$  in  $\mathfrak{g}$

-  $(e^{tX}, \exp(tX)m)$  is horizontal curve which intersects  $(e, m)$

- is in the image of  $e^{tX}\phi_{\exp(tX)m}$

- conclude that  $e^{tX}\phi_{\exp(tX)m} = \phi_m$

- replace  $m$  by  $\exp(tX)m$

- conclude  $e^{tX}\phi_m = \phi_{\exp(tX)m}$

- hence  $e^{tX}m = \exp(tX)m$

$G_M \subseteq \tilde{G}$  stabilizer of  $M$

- observe  $G_M$  is discrete

-  $\exp(tX)m = m$  for all  $m$  implies  $X = 0$

set  $G := \tilde{G}/G_M$

- then  $G$  act effectively
- get desired transformation group

□

consider the following situation

- $M$  - manifold
- $G$  - group
- $G$  acts by diffeomorphisms on  $M$
- $a : G \rightarrow \text{Aut}_{\mathbf{Mf}}(M)$  - injective

What additional data makes  $(G, M, a)$  into a Lie transformation group?

$$S := \{X \in \mathcal{X}^c(M) \mid (\forall t \in \mathbb{R} \mid \exp(tX) \in G)\}$$

- at the moment this is just a subset
- In general we do not know that a linear combination of complete vector fields are a commutator of them is again complete!
- so not clear whether linear subspace or even sub-lieagebra

**Theorem 2.21.** *If  $S$  generates a finite-dimensional Lie algebra, then  $(G, M, a)$  has the structure of a Lie transformation group with Lie algebra  $S$*

*Proof.*  $\mathfrak{g}^*$  - Lie algebra generated by  $S$  (as subalgebra of  $\mathcal{X}(M)$ )

- is finite-dimensional by assumption
- want to show that  $S = \mathfrak{g}$

have simply-connected Lie group  $\tilde{G}$  with Lie algebra  $\mathfrak{g}$

- the elements of  $\mathfrak{g}$  have local flows

consider  $X, Y$  in  $\mathfrak{g}^*$

- define  $Z := \text{Ad}(e^X)(Y)$  in  $\mathfrak{g}^*$

**Lemma 2.22.** *If  $X, Y \in S$ , then  $Z \in S$ .*



*Proof.*

$$\exp(sX) \exp(tY) \exp(-sX)m = e^{sX} e^{tY} e^{-sX} m = e^{t \text{Ad}(e^{sX})(Y)} m = \exp(t \text{Ad}(e^{sX})(Y))m$$

for all small  $s, t$  (depending on  $m$ ) and all  $m$

- conclude:  $\exp(sX) \exp(tY) \exp(-sX)m = \exp(t \text{Ad}(e^{sX})(Y))m$  for all  $t, s, m$

- conclude  $\exp(X) \exp(tY) \exp(-X)m = \exp(tZ)m$  exists for all  $t$  and  $\exp(tZ)$  belongs to  $G$

— hence  $Z \in \mathfrak{g}$  □

**Lemma 2.23.**  $S$  spans  $\mathfrak{g}$  as a vector space

*Proof.*  $V := \text{span}_{\mathbb{R}}(S)$

- have seen above:  $\text{Ad}(e^S)(V) \subseteq V$

- differentiate in order to get  $[S, V] \subseteq V$

- by linearity of bracket:  $[V, V] \subseteq V$

—  $V$  is Lie algebra

- conclude from  $S \subseteq V$  that  $\mathfrak{g} \subseteq V$

- by construction  $V \subseteq \mathfrak{g}$

— hence  $\mathfrak{g} = V$  □

**Lemma 2.24.**  $S = \mathfrak{g}$

*Proof.* consider  $Y \in \mathfrak{g}$

- must show that  $\exp(tY)m$  exists for all  $t$  and  $m$  and  $\exp(tY)$  is in  $G$

- suffices to show that there is  $\delta$  in  $(0, \infty)$  such that  $\exp(tY)m$  exists for all  $t$  with  $|t| \leq \delta$  and all  $m$  and  $\exp(tY)$  is in  $G$

$(X_i)_i$  - basis of  $\mathfrak{g}$

-  $\mathbb{R}^n \ni (t_1, \dots, t_n) \mapsto e^{t_1 X_1} \dots e^{t_n X_n} \in \tilde{G}$  local diffeo

- ex  $\delta$  in  $(0, \infty)$  such that for all  $t$  with  $|t| \leq \delta$
- $e^{tY} = e^{a_1(t)X_1} \dots e^{a_n(t)X_n}$
- $t \mapsto (a_1(t), \dots, a_n(t))$  smooth
- $\exp(tY)m = \exp(a_1(t)X_1) \dots \exp(a_n(t)X_n)m$  for all  $t$  with  $|t| \leq \delta$  and all  $m$
- also clear:  $\exp(tY)$  is in  $G$

□

finish proof of Theorem

- use Theorem 2.20 for  $\mathfrak{g}$
- get transformation group  $(G^*, M, a)$  with Lie algebra  $\mathfrak{g}$
- $G^* = \tilde{G}/\tilde{G}_M$  - with  $\tilde{G}_M$  stabilizer

consider  $(V_\alpha)_\alpha$  system of open nbhds of 1 in  $G^*$

- set  $(hV_\alpha)_\alpha$  as system of open nbhds of  $h$  in  $G$
- this defines topology on  $G$
- $G^* \subseteq G$  is open, closed
- $G$  becomes Lie group  $1 \rightarrow G^* \rightarrow G \rightarrow \pi_0(G) \rightarrow 1$
- $G \times M \rightarrow M$  becomes smooth action

There is a gap here:  $\pi_0(G)$  must be countable

□

**Example 2.25.** counterexample:

consider  $M = \mathbb{R}$

- consider some uncountable subgroup  $G$  of  $\mathbb{R}$  which is not equal to  $\mathbb{R}$
- take any uncountable subset  $I$
- let  $G$  be subgroup group generated by  $I$
- then  $S = 0$
- $G$  is discrete

□

### 2.3 Automorphism groups of structures

do not say  $G$ -structures since we use  $G$  to denote the automorphism group

$M$  - manifold with 1-structure

- recall: this is a trivialization of  $TM$

$G := \{f \in \text{Aut}_{\mathbf{Mf}}(M) \mid f \text{ preserves 1-structure}\}$

need to consider non-connected manifolds  $M$

- fix  $i$  in  $\pi_0(M)$

-  $M_i$  component of  $M$

- consider the subgroups  $G_{(i)} \subseteq G_{\{i\}} \subseteq G$  of  $f$  which stabilize  $M_i$  point- and setwise

— define  $G_i := G_{\{i\}}/G_{(i)}$

—  $G_i$  acts effectively on  $M_i$

**Example 2.26.** Consider  $M = \mathbb{R} \sqcup \mathbb{R} \sqcup \mathbb{Z}/2\mathbb{Z}$  and  $G := \mathbb{Z}/2\mathbb{Z} \times \mathbb{R} \times \mathbb{Z}/2\mathbb{Z}$

- components 0, 1, 2

- write elements of  $M$

- as  $(x, i)$ ,  $x \in \mathbb{R}$ ,  $i \in \mathbb{Z}/2\mathbb{Z}$  for first two components

- and  $j$  in  $\mathbb{Z}/2\mathbb{Z}$

- define action of  $G$

-  $(\sigma, 0, 0)(x, i) := (x, i + \sigma)$

-  $(0, 0, \kappa)(x, i) := (x, i)$

-  $(\sigma, 0, 0)j := j$

-  $(0, 0, \kappa)j := j + \sigma$

-  $(0, t, 0)(x, i) := (x + t, i)$

-  $(0, t, 0)j := j$

-  $G_{\{0\}} = \mathbb{R} \times \mathbb{Z}/2\mathbb{Z}$

-  $G_{(0)} = \mathbb{Z}/2\mathbb{Z}$

-  $G_0 = \mathbb{R}$

□

**Theorem 2.27.** *Assume that  $M$  has finitely many components.*

1.  $(G, M, a)$  refines to a Lie transformation group.
2.  $\dim(G) \leq |\pi_0(M)| \dim(M)$
3. For every  $i$  in  $\pi_0(M)$  we have an induced Lie transformation group  $(G_i, M_i, a)$ .
4. For every  $i$  in  $\pi_0(M)$  and  $m$  in  $M_i$  the map  $G_i \rightarrow G_i m$  is an embedding onto a closed submanifold.

*Proof.*  $(e_i)$  basis fields of 1-structure

$V := \text{span}_{\mathbb{R}}((e_i)_i) \subseteq \mathcal{X}(M)$

-  $V \ni v \mapsto \exp(v)m$  local diffeo near 0

-  $g$  in  $G$  preserves  $V$

-  $g_* v = v$  for every  $v$  in  $V$

- conclude  $g \exp(v) = \exp(v)g$

$\mathfrak{l} := \{X \in \mathcal{X}(M) \mid [V, X] = 0\}$

-  $\mathfrak{l}$  is Lie subalgebra (by Jacobi identity)

- have decomposition  $\mathfrak{l} = \bigoplus_{i \in \pi_0(M)} \mathfrak{l}_i$

fix  $i$  in  $\pi_0(M)$  and  $m$  in  $M_i$

**Lemma 2.28.** *The evaluation  $\mathfrak{l}_i \rightarrow T_m M$  is injective.*

*Proof.* write  $X = \sum_i a_i e_i$

-  $0 = [e_j, X] = \sum_i e_j(a_i) e_i + \sum_i a_i [e_j, e_i]$

- system of homogeneous linear ode's for the  $a_j$

consider  $m'$  in  $M_i$

- solve ODE along a curve from  $m$  to  $m'$

-  $X(m) = 0$  - initial condition - implies  $X(m') = 0$

-  $m'$  arbitrary in  $M_i$

- conclude  $X \equiv 0$  on  $M_i$

□

conclude  $\dim(\ell) \leq \dim(M) - |\pi_0(M)|$

$S := \mathcal{X}^c(M) \cap \mathfrak{l}$  - set of complete elements in  $\mathfrak{l}$

-  $S$  generates Lie algebra contained in  $\mathfrak{l}$

- is also finite-dimensional

argue that  $\exp(tX) \in G$  for all  $t$ :

-  $\partial_t \exp(tX)_*(e_i) = \exp(tX)_*(e_i)[X, e_i] = 0$

- hence  $\exp(tX)_*e_i = e_i$  for all  $t$

- implies claim

conclude by Theorem 2.21 that  $G$  is part of Lie transformation group  $(G, M, a)$  with Lie algebra  $S$

consider  $i$  in  $\pi_0(M)$

-  $G_i$  acts on  $M_i$  and preserves (restriction of) 1-structure

apply to  $G_i$  and  $S_i := S \cap \mathfrak{l}_i$

- conclude by Theorem 2.21 that  $G_i$  is part of Lie transformation group  $(G_i, M_i, a)$  with Lie algebra  $S_i$

fix  $m \in M_i$

**Lemma 2.29.**  $G_i m$  is closed in  $M_i$

*Proof.*  $(g_k)_k$  sequence in  $G_i$

-  $g_k m \rightarrow m_0$

must find  $g$  in  $G_i$  with  $gm = m_0$

want to define  $g$  by  $m' \mapsto \lim_k g_k m'$

- consider set  $M'_i$  of  $m'$  in  $M_i$  such that  $\lim_k g_k m'$  exists

-  $M'_i$  is open and closed:

- to see this: parametrize open neighbourhood of  $m'$  in  $M_i$  by  $v \mapsto \exp(v)m'$

$$\lim_k g_k \exp(v)m' = \exp(v) \lim_k g_k m'$$

$M_i$  is connected, hence  $M'_i = M_i$

have by construction have  $g \exp(v)m' = \exp(v) \lim_k g_k m'$  - this is smooth in  $v$

- have  $g$  in  $G_i$  since it preserves  $V$

□

fix  $m$  in  $M_i$

**Lemma 2.30.**  $G_i \ni g \rightarrow gm \in M_i$  is injective

*Proof.*  $M_i^g$  - fixed point set of  $g$

- closed by continuity of action of  $g$

- for  $m$  in  $M_i^g$

-  $g \exp(v)m = \exp(v)gm = \exp(v)m$

—  $M_i^g$  is also open

have two cases:

-  $M_i^g = M_i$  and  $g = 1$

-  $M_i^g = \emptyset$

□

-  $m \in M_i$

- by Lemma 2.28  $G_i \rightarrow Gm$  is immersion and hence embedding

□

**Example 2.31.** What happens if we drop the condition on finitely many components?

we consider the standard 1-structure on  $\bigsqcup_{\mathbb{N}} \mathbb{R}$

$\prod_{n \in \mathbb{N}} \mathbb{R}$  acts

$(t_i)_{i \in \mathbb{N}}$  acts as  $x \mapsto x + t_i$  on component with index  $i$

is not a Lie transformation group

□

## 2.4 The isometry group as a Lie transformation group

$(M, g)$  - Riemannian manifold

- equivalently:  $O(n)$  - structure  $r : Q \rightarrow \text{Fr}(M)$

-  $I(M)$  - isometry group

- equivalently: group which preserves  $O(n)$ -structure

**Theorem 2.32** (Myers-Steenrod 1939). *We assume that  $M$  is connected.*

1.  $I(M)$  is part of a Lie transformation group  $(I(M), M, a)$

2. For every  $m$  in  $M$  the stabiliser  $I(M)_m$  is compact.

3. If  $M$  is compact, then  $I(M)$  is compact.

*Proof.* we use that  $Q$  has a canonical connection, the Levi-Civita connection

$\pi : \text{Fr}(M) \rightarrow M$

- have tautological  $\mathbb{R}^n$ -valued 1-form  $\theta$  in  $\Omega^1(\text{Fr}(M), \mathbb{R}^n)$

-  $\theta(e)(X) := e^{-1}(T\pi(e)(X)) \in \mathbb{R}^n$  for all  $X$  in  $T_e\text{Fr}(M)$

$f$  in  $\text{Aut}(M)$

- induces  $\text{Fr}(f) \in \text{Aut}(\text{Fr}(M))$

-  $\text{Fr}(f)^*\theta = \theta$

- indeed use  $\pi \circ \text{Fr}(f) = f \circ \pi$

$$\begin{aligned}
 (\text{Fr}(f)^*\theta)(e)(X) &= \theta(\text{Fr}(f)(e))(T\text{Fr}(f)(e)(X)) \\
 &= \text{Fr}(f)(e)^{-1}(T\pi(T\text{Fr}(f)(e)(X))) \\
 &= (Tf(\pi(e)) \circ e)^{-1}(Tf(\pi(e))(T\pi(e)(X))) \\
 &= e^{-1}(T\pi(e)(X)) \\
 &= \theta(e)(X)
 \end{aligned}$$

$G \subseteq GL(n, \mathbb{R})$  sub Lie-group with finitely many components

consider  $G$ -reduction  $Q \subseteq \text{Fr}(M)$

- consider  $G$ -principal bundle automorphism

$$\begin{array}{ccc}
 Q & \xrightarrow{\tilde{f}} & Q \\
 \downarrow & & \downarrow \\
 M & \xrightarrow{f} & M
 \end{array}$$

**Lemma 2.33.** *If  $\tilde{f}^*\theta|_Q = \theta|_Q$ , then  $f$  preserves the  $G$ -structure and  $\tilde{f} = \text{Fr}(f)$ .*

*Proof.*  $J := \text{Fr}(f)^{-1} \circ \tilde{f}$

- want to show:  $J$  is inclusion  $Q \rightarrow \text{Fr}(M)$

- know already

-  $\pi \circ J = \pi$

-  $J^*\theta = \theta$

-  $\theta(J(e))(TJ(e)(X)) = J(e)^{-1}(T\pi(J(e))(TJ(e)(X))) = J(e)^{-1}(T\pi(e)(X))$

-  $\theta(e)(X) = e^{-1}(T\pi(e)(X))$

- both together imply  $J(e) = e$



- hence  $J$  is the canonical embedding

□

$\text{Aut}(M, Q)$  - group of  $G$ -structure preserving automorphisms of  $M$

- consider principal bundle connection  $\omega$  on  $Q$

**Definition 2.34.** Call  $f$  in  $\text{Aut}(M, Q)$  affine if  $\text{Fr}(f)^*\omega = \omega$ .

$\text{Aut}(M, Q, \omega)$  - subgroup of  $\text{Aut}(M, Q)$  of affine transformations

**Lemma 2.35.**  $\text{Aut}(M, Q, \omega)$  is part of a Lie transformation group  $(\text{Aut}(M, Q, \omega), M, a)$ .

*Proof.*  $\theta \oplus \omega \in \Omega^1(Q, \mathbb{R}^n \oplus \mathfrak{g})$

- is a 1-structure on  $\text{Fr}(M)$

- by Lemma 2.33  $\text{Aut}(M, Q, \omega)$  is 1-structure preserving automorphisms of  $\text{Fr}(M)$

-  $Q$  has finitely many components

- by Theorem 2.27 get Lie transformation group  $(\text{Aut}(M, Q, \omega), \text{Fr}(M), a')$

- action descends to action on  $M$  by Lemma 2.33

- get Lie transformation group  $(\text{Aut}(M, Q, \omega), M, a)$

□

consider  $G = O(n) \subseteq GL(n, \mathbb{R})$

-  $\omega$  - Levi-Civita connection

-  $I(M) = \text{Aut}(M, Q) = \text{Aut}(M, Q, \omega)$

- get Lie transformation group  $(I(M), M, a)$

$m$  in  $M$

$I(M)_m$  stabilizer

- fix  $e$  in  $Q_m$

-  $I(M) \ni f \mapsto \text{Fr}(f)e$  is embedding onto closed submanifold

-  $I(M)_m$  has image in fibre  $Q_m$

- hence  $I(M)_m$  is compact

if  $M$  is compact then  $Q$  is compact and hence  $I(M)$  is compact

□

## 2.5 Manifolds with large isometry groups

$M$  - manifold

-  $n := \dim(M)$

-  $g^M$  - Riemannian metric

$I(M, g^M)$  - isometry group

**Lemma 2.36.**  $\dim(I(M, g^M)) \leq \frac{n(n+1)}{2}$

*Proof.*  $\dim O(TM) = \dim(O(n)) + n = \frac{n(n-1)}{2} + n = \frac{n(n+1)}{2}$

- have embedding  $I(G, g^M)$  into  $O(TM)$

- fix orthogonal frame  $e$  in  $O(TM)$

— embedding is by  $g \mapsto \text{Fr}(g)e$

- hence estimate

□

**Lemma 2.37.** *Let  $M$  be connected. If  $\dim(I(M, g^M)) = \frac{n(n+1)}{2}$ , then  $M$  is one of*

1.  $\mathbb{R}^n$

2.  $S^n$

3.  $P^n(\mathbb{R})$

4.  $H^n$

*Proof.*  $I(M, g^M)e$  in  $O(TM)$  closed

- and open by equal dimension

$O(TM)$  has one or two components

- if  $O(TM)$  is connected:  $I(M, g^M) = O(TM)$
- otherwise:  $I(M, g^M)$  is component of  $O(TM)$
- stabilizer of  $m$ :  $I(M, g^M)_m$  is  $O(T_m M)$

$I(M, g^M)_m$  acts transitively on 2-planes in  $T_m M$

- sectional curvature is invariant
- hence have sectional curvature is constant in  $m$
- can conclude: sectional curvature is constant (last semester)

- $I(M, g^M)$  acts transitively on points of  $M$
- get uniform existence time of geodesic flow
- conclude:  $(M, g^M)$  is complete

- $\tilde{M} \rightarrow M$  universal covering
- $M = \tilde{M}/\Gamma$  - where  $\Gamma$  discrete subgroup of  $I(\tilde{M}, g^{\tilde{M}})$
- has lifted metric  $\tilde{g}$
- is also complete and has constant sectional curvature

consider Killing field  $X$  on  $M$

- lifts to Killing field  $\tilde{X}$  on  $\tilde{M}$
- conclude:  $\frac{n(n+1)}{2} = \dim(I(M, g^M)) \leq \dim(I(\tilde{M}, g^{\tilde{M}})) = \frac{n(n+1)}{2}$
- hence  $X \mapsto \tilde{X}$  is isomorphism of Lie algebras
- $\tilde{X}$  is  $\Gamma$ -invariant

$I(\tilde{M}, g^{\tilde{M}})^0$  is generated by  $\exp(\tilde{X})$  for all  $\tilde{X}$

- these vector fields are  $\Gamma$ -invariant (no additional non-invariant ones by maximality of dimension of  $I(M, g^M)$ )
- all elements of  $I(\tilde{M}, g^{\tilde{M}})^0$  commutes with  $\Gamma$

now invoke classification of complete simply connected manifolds with constant sectional curvature

use

$K \geq 0$ :  $S^n$

- have group  $\Gamma = C_2$  generated by antipodal involution
- the antipodal involution commutes with all isometries (is central in  $I(S^n, g^{S^n}) \cong O(n+1)$ )
- hence  $\mathbb{R}P^n$  is non-simply connected example
- this is the only quotient of  $S^n$  by central isometries

$K = 0$ :  $\mathbb{R}^n$

- exclude quotients:  $\mathbb{R}^n/\Gamma$ :
- every isometry which commutes with all translations and rotations is trivial

$K < 0$ :  $H^n$

- exclude quotients  $H^n/\Gamma$ :
- every  $\gamma$  which commutes with all isometries is trivial

□

### 3 Construction of E examples from Lie groups

#### 3.1 Symmetric spaces

$(M, g^M)$  - Riemannian

**Definition 3.1.**  $(M, g^M)$  is a symmetric space if every  $m$  in  $M$  is an isolated fixed point of an involutive isometry  $\theta_m$ .

note:  $D\theta_m(m) = -1$

- otherwise  $D\theta_m(m)$  would fix some nonzero  $X$
- $\exp_m(tX)$  is then also fixed for all small  $t$

– hence  $m$  not isolated

will provide the construction of Riemannian symmetric spaces using symmetric pairs

consider semisimple Lie group  $G$

-  $\mathfrak{g}$  - semisimple Lie algebra

- Killing form  $B \in S^2(\mathfrak{g}^*)$

–  $B(X, Y) := \text{tr}(\text{ad}(X)\text{ad}(Y))$

- semisimple is equivalent to: Killing form  $B \in S^2(\mathfrak{g}^*)$  is non-degenerate

– recall further:  $G$  is compact if and only if  $B$  is negative definite

consider involution  $\Theta$  on  $G$

- set  $K \subseteq G^\Theta$  open subgroup of fixed points

**Definition 3.2.** A pair  $(G, K)$  of a Lie group and a closed subgroup is called a symmetric pair if there exists an involution  $\Theta$  of  $G$  such that  $K$  is an open subgroup of  $G^\Theta$ .

– is a subgroup

- then  $\mathfrak{k} \subseteq \mathfrak{g}$  - fixed points of induced involution  $\theta := d\Theta$

– is sub Lie algebra of subgroup  $K$

-  $\mathfrak{p} := -1$ -eigenspace of  $\theta$

– is not a Lie algebra in general:

$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  is called Cartan decomposition

**Lemma 3.3.** The Cartan decomposition is  $\text{Ad}(K)$ ,  $\theta$ -invariant, and  $B$ -orthogonal decomposition. We furthermore have

$$[\mathfrak{k}, \mathfrak{p}] \subseteq \mathfrak{p}, \quad [\mathfrak{p}, \mathfrak{p}] \subseteq \mathfrak{k}.$$

*Proof.*  $\theta$ -invariant by construction

$\text{Ad}(K)$  commutes with  $\theta$

- implies  $\text{Ad}(K)$ -invariance of decomposition

$\theta$  is automorphism of Lie algebras and preserves therefore  $B$

- implies  $B$ -orthogonality of decomposition

commutator rules: apply automorphism  $\theta$

□

$(G, K)$  - symmetric pair

**Definition 3.4.** We call  $(G, K)$  a Riemannian symmetric pair if  $\text{Ad}(K) \subseteq \text{Aut}(\mathfrak{p})$  is compact.

**Corollary 3.5.** If  $(G, K)$  is a Riemannian symmetric pair, then  $\mathfrak{p}$  admits  $\text{Ad}(K)$ -invariant scalar product.

**Example 3.6.** assume  $G$  semisimple, compact

-  $B$  is negative definite on  $\mathfrak{g}$ ,  $\text{Ad}(G)$ -invariant

-  $-B|_{\mathfrak{p}}$  is positive definite,  $\text{Ad}(K)$ -invariant

say in this case:  $(G, K)$  is of compact type

□

**Example 3.7.** assume  $G$  semisimple

- assume  $B$  is negative definite on  $\mathfrak{k}$  and positive definite on  $\mathfrak{p}$

- then  $G$  is non-compact (necessarily)

-  $B|_{\mathfrak{p}}$  is positive definite,  $\text{Ad}(G)$ -invariant

say in this case:  $(G, K)$  is of non-compact type

□

**Example 3.8.** Remaining case:  $B = 0$

-  $\mathfrak{g}$  is abelian

-  $G$  not semisimple

- say  $(G, K)$  is of Euclidean type

□

**Remark 3.9.** (up to coverings) every Riemannian symmetric pair is a product of a non-compact, a compact, and an euclidean type  $\square$

consider Riemannian symmetric pair  $(G, K)$  (with involution  $\Theta$ )

$M := G/K$  manifold

- $G$  acts transitively on  $M$  from the left
- $G \rightarrow M$  is  $G$ -equivariant  $K$ -principal bundle
- $T_e G = \mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  decomposition
- $\mathfrak{k}$  - vertical
- $\mathfrak{p}$  - horizontal
- defines  $G$ -invariant principal bundle connection  $T^h G$  on  $G \rightarrow M$  by equivariant extension

$$T_g^h G := L_{g,*} \mathfrak{p}$$

- check: this is right  $K$ -invariant:
  - use identity:  $R_{k,*} L_{g,*} X = L_{gk,*} \text{Ad}(k^{-1})(X)$
  - suggestive notation:  $gXk = gkk^{-1}Xk = gk\text{Ad}(k^{-1})(X)$
- define isomorphism of vector bundles over  $M$ :  $G \times_K \mathfrak{p} \cong TM$
- $[g, X] \mapsto T(\pi)(L_{g,*}(X))$
- for well-definedness
- $[gk, X] \mapsto L_{gk,*}(X) = T(\pi)(L_{g,*}(\text{Ad}(k)(X)))$
- $[g, \text{Ad}(k)(X)] \mapsto T(\pi)(L_{g,*}(\text{Ad}(k)(X)))$

any  $\text{Ad}(K)$ -invariant metric  $\langle -, - \rangle$  on  $\mathfrak{p}$  defines  $G$ -invariant Riemannian metric  $g^M$  on  $M$

- transitive  $G$ -action implies completeness of  $(M, g^M)$

**Lemma 3.10.**  $(M, g^M)$  is a Riemannian symmetric space.

*Proof.* consider  $gK$  in  $M$

- must find involutive isometry with isolated fixed point  $gK$
- $\Theta_g := g\Theta g^{-1}$  is in  $I(M, g^M)$
- fixes precisely point  $gK$
- acts as  $-1$  on  $T_{gM}$

□

want to understand the Riemannian geometry of  $M$  in group-theoretic terms

the group  $G$  and  $\Theta$  act by principal bundle automorphisms on  $G \rightarrow M$

- nontrivially also on the base, i.e. not fibrewise
- for  $g$  in  $G$ : by left translation
- for  $\Theta : g \mapsto \Theta(g)$
- note  $\Theta(gk) = \Theta(g)\Theta(k) = \Theta(g)k$
- and  $gK \mapsto \Theta(gK) = \Theta(g)K$

the connection  $T^hG$  on  $G \rightarrow M$  is  $G$ - and  $\Theta$ -invariant

- for  $G$ : by construction
- for  $\Theta$ :  $T\Theta(L_{g,*}X) = -L_{\Theta(g)}(X)$

**Lemma 3.11.** 1. *The (principal bundle) curvature (at  $e \in G$ ) of the connection is given by  $\Omega(X, Y) = -[X, Y]$  for  $X, Y \in \mathfrak{p}$ .*

2. *For  $X$  in  $\mathfrak{p}$ ,  $k$  in  $K$  the curve  $e^{tX}k$  is horizontal.*

*Proof.* by definition  $\Omega(X, Y)$  is the negative vertical part of  $[X^h, Y^h](e)$

- here  $X^h, Y^h$  horizontal fields extending  $X, Y$
- but for  $X$  in  $\mathfrak{p}$  the corresponding left invariant field  $g \mapsto L_{g,*}X$  is horizontal by definition
- commutator of left invariant fields is commutator in Lie algebra
- since  $[X, Y] \in \mathfrak{k}$  this is already vertical



— conclude  $\Omega(X, Y) = -[X, Y]$

$\partial_t \exp(tX)k = R_{k,*}L_{e^{tX},*}(X) = L_{e^{tX}k,*}(\text{Ad}(k^{-1})(X))$  is horizontal

□

the principal bundle connection induces a vector bundle connection  $\nabla$  on  $TM = G \times_K \mathfrak{p}$

- since  $\text{Ad}(K)$  acts isometrically on  $\mathfrak{p}$  this connection is automatically metric

- this connection is  $G$  and  $\Theta$ -invariant

**Lemma 3.12.** 1. We have  $T^\nabla = 0$ , i.e.,  $\nabla$  is the Levi-Civita connection of  $(M, g^M)$ .

2. For  $X$  in  $\mathfrak{p}$  the curve  $\exp(tX)K$  is a geodesic.

3. Every  $G$ -invariant tensor on  $M$  is parallel.

*Proof.* show that torsion  $T^\nabla = 0$  at  $e \in G$

- then  $T^\nabla = 0$  by  $G$ -invariance

$T^\nabla$  is  $\Theta$ -invariant

-  $\Theta$  acts by  $-1$  on  $\mathfrak{p} = T_eK$

-  $T^\nabla(\Theta X, \Theta Y) = \Theta T(X, Y)$

implies  $(1)^2 = -1$  or  $T(X, Y) = 0$

curve  $\partial_t e^{tX}K = L_{e^{tX},*}X$  in  $TM$  is parallel

- since it is image of horizontal curve  $[e^{tX}, X]$  in  $G$

**Assertion 3: exercise**

□

**Corollary 3.13.** The Riemannian curvature at  $eK$  is given by  $R^\nabla(X, Y) = -\text{ad}([X, Y])$  in  $\text{End}(\mathfrak{p})$ .

for the next we assume that  $(G, K)$  is of compact or non-compact type

- $\langle -, - \rangle = cB|_{\mathfrak{p}}$
- $c >$  for non-compact type
- $c < 0$  for compact type
- we need that  $\langle -, - \rangle$  is the restriction to  $\mathfrak{p}$  of an  $\text{ad}(\mathfrak{g})$ -invariant scalar product on  $\mathfrak{g}$

**Corollary 3.14.** *The sectional curvature is given by  $K^\nabla(X, Y) = cB([X, Y], [X, Y])$ .*

*Proof.*  $R^\nabla$  by definition

- sectional curvature
- insert orthonormal  $X, Y$ :
- $K^\nabla(X, Y) = cB(-\text{ad}([X, Y])Y, X) = cB([Y, [X, Y]], X) = -cB([X, Y], [Y, X]) = cB([X, Y], [X, Y])$  □

note that  $[X, Y] \in \mathfrak{k}$  and  $B|_{\mathfrak{k}}$  is negative definite

- hence  $B([X, Y], [X, Y]) \leq 0$

consider maximal abelian subspace  $\mathfrak{a}$  in  $\mathfrak{p}$

**Definition 3.15.**  $\dim(\mathfrak{a})$  is called the rank of the symmetric space

sectional curvature  $K^\nabla$  vanishes along  $\mathfrak{a}$

- $\exp(\mathfrak{a})K$  is a flat submanifold in  $M$
- the rank is the dimension of a maximal flat submanifold

**Corollary 3.16.** *If  $(G, K)$  is of compact (non-compact) type, then  $(M, G)$  has non-negative (non-positive) sectional curvature. If  $\text{rk}(M) = 1$ , then it has positive (negative) sectional curvature.*

*Proof.* - if  $\text{rk}(M) = 1$ , then  $[X, Y] \neq 0$  for any two independent  $X, Y$  in  $\mathfrak{p}$

- $B([X, Y], [X, Y]) \leq 0$
- $\pm cB([X, Y], [X, Y]) \leq 0$  depending on sign of  $c$  □

if  $(G, K)$  is a product of compact and non-compact factors, then the corresponding sectional curvature has no definite sign

### 3.2 Example $S^n$ and $SO(n+1)$

we consider the group  $G = SO(n+1)$

define  $\Theta$  as conjugation by  $\Theta := \text{diag}(1, -1, \dots, -1)$

- in blocks of size  $(1, n)$

$$- \begin{pmatrix} A & B \\ C & D \end{pmatrix} \mapsto \begin{pmatrix} A & -B \\ -C & D \end{pmatrix}$$

$$- \text{thus } K \subseteq \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix}$$

- is compact

from orthogonality:  $\det(D) = \pm 1$ , i.e.  $A = \det(D)$

have two choices for  $K$ :  $SO(n)$ ,  $O(n)$  (identified with  $D$ )

$SO(n+1)$  acts transitively on  $S^n \subseteq \mathbb{R}^{n+1}$

-  $SO(n)$  is precisely stabilizer of  $e_1$  in  $\mathbb{R}^{n+1}$

-  $SO(n+1)/SO(n) = S^n$

-  $SO(n) \cong SO(n+1)_N$  acts transitively on planes in north pole  $N$

- sectional curvature of induced metric is constant

$(SO(n+1), \Theta)$  presents round sphere as symmetric space

-  $\text{rk}(S^n) = 1$

**Exercise: determine the value of the sectional curvature precisely**

If we take  $K = O(n)$ , then get  $\mathbb{R}P^n$

### 3.3 $H^n$ and $SO(1, n)$

consider bilinear form on  $\mathbb{R}^{n+1}$  represented by  $B := \text{diag}(1, -1, \dots, -1)$

-  $O(1, n)$  group of automorphisms

-  $SO(1, n) \subseteq O(1, n)$  - singled out  $\det(g) = 1$

$SO(1, n)$  has again two components

- $C := \{x \in \mathbb{R}^n \mid B(x, x) = 0\}$  light cone
- $C^* := C \setminus \{0\}$  has two components
- distinguished by sign of  $x_1$
- $SO(1, n)$  acts on  $C^*$
- $SO(1, n)^+ \subseteq SO(1, n)$  subgroup which fixes the components setwise

**Exercise:** Show that that  $SO(1, n)$  contains elements which interchanges the components.

define  $\Theta$  as conjugation by  $\Theta := \text{diag}(1, -1, \dots, -1)$

- in blocks of size  $(1, n)$

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} \mapsto \begin{pmatrix} A & -B \\ -C & D \end{pmatrix}$$

thus  $K \subseteq \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix}$

- conclude  $D \in O(n)$
- from orthogonality:  $\det(D) = \pm 1$ , i.e.  $A = \det(D)$

have again two choices for  $K$ :  $SO(n)$ ,  $O(n)$  (identified with  $D$ )

**Exercise:** Show that that  $SO(n) = SO(1, n)^{+, \Theta}$ .

consider  $H^n := SO(1, n)^+ e_1$  (hyperboloid:  $\{x \in \mathbb{R}^{n+1} \mid B(x, x) = 1 \ \& \ x_1 > 0\}$ )

- stabilizer of  $e_1$  is precisely  $SO(n)$
- $H^n = SO(1, n)^+ / SO(n)$
- projection  $H^n \rightarrow \{0\} \times \mathbb{R}^n$  (last  $n$  coordinates) is a diffeomorphism
- $SO(n)$  acts transitively on planes at  $e_1$
- sectional curvature of  $H^n$  is constant
- $(SO(1, n)^+, \Theta)$  defines presents hyperbolic space as symmetric space

**Exercise:** determine the value of the sectional curvature precisely

- have  $\text{rk}(H^n) = 1$

### 3.4 $\mathbb{C}\mathbb{P}^n$ and $U(n+1)$

consider group  $U(n+1)$

- define  $\Theta$  as conjugation by  $\Theta := \text{diag}(1, -1, \dots, -1)$
- in blocks of size  $(1, n)$  (complex matrices)

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix} \mapsto \begin{pmatrix} A & -B \\ -C & D \end{pmatrix}$$

- thus  $K \subseteq \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix}$

- $A \in U(1), D \in U(n)$
- $K = U(1) \times U(n)$  is compact

$U(n+1)$  acts transitively on  $\mathbb{C}\mathbb{P}^n$  (lines in  $\mathbb{C}^{n+1}$ )

- stabilizer of  $\mathbb{C}e_1$  is precisely  $U(1) \times U(n)$
- $U(n+1)/U(1) \times U(n) \cong \mathbb{C}\mathbb{P}^n$

get Riemannian metric on  $\mathbb{C}\mathbb{P}^n$

- $(U(n+1), \Theta)$  presents  $\mathbb{C}\mathbb{P}^n$  as Riemannian symmetric space

in the following want to study this metric in detail

- $K$  acts transitively on complex hyperplanes of  $T_{\mathbb{C}e_1}\mathbb{C}\mathbb{P}^n$ , but not on all real ones
- can not conclude that sectional curvature is constant
- but know: sectional curvature is non-negative (since  $U(n+1)$  is compact)

identify  $\mathfrak{p}$  with  $\mathbb{C}^n$

$$\text{embed as } X \mapsto \begin{pmatrix} 0 & -\bar{X}^t \\ X & 0 \end{pmatrix} \text{ in } \mathfrak{u}(n+1)$$

- consider family of hyperplanes  $H_s$  for  $s$  in  $[0, 1]$
- intersects all  $U(1) \times U(n)$ -orbits **exercise**

let  $H(s)$  be generated by  $E_{12} - E_{21}$  and  $s^{1/2}i(E_{12} + E_{21}) + (1 - s)^{1/2}(E_{31} - E_{13})$

- $H_1$  is a complex plane
- $H_0$  is a real plane

$$\begin{aligned} & [E_{12} - E_{21}, s^{1/2}i(E_{12} + E_{21}) + (1 - s)^{1/2}(E_{31} - E_{13})] \\ &= s^{1/2}i(E_{11} - E_{22}) + (1 - s)^{1/2}E_{23} - s^{1/2}i(-E_{11} + E_{22}) - (1 - s)^{1/2}E_{32} \\ &= 2s^{1/2}(E_{11} - E_{22}) + (1 - s)^{1/2}(E_{23} - E_{32}) \end{aligned}$$

- calculate with scalar product on  $\mathfrak{u}(n + 1)$  given by  $\text{tr}A^*A$
- this is  $U(n + 1)$ -invariant
- proportional to Killing form, but easier to calculate
- $A^*A$ :

$$\begin{aligned} & (2is^{1/2}(E_{11} - E_{22}) + (1 - s)^{1/2}(E_{23} - E_{32}))^*(2is^{1/2}(E_{11} - E_{22}) + (1 - s)^{1/2}(E_{23} - E_{32})) \\ &= (-2is^{1/2}(E_{11} - E_{22}) - (1 - s)^{1/2}(E_{23} - E_{32}))(2is^{1/2}(E_{11} - E_{22}) + (1 - s)^{1/2}(E_{23} - E_{32})) \\ &= 4s(E_{11} + E_{22}) + (1 - s)(E_{22} + E_{33}) + \text{off diagonal} \end{aligned}$$

- $\text{tr}A^*A$ :
- the generators are orthogonal and have norm  $\sqrt{2}$  (this is a similar calculation)
- $8s + 2(1 - s) = 6s + 2$
- $K(H(0)) = 1$
- $K(H(1)) = 4$

conclusion: minimal sectional curvature at real plane is  $1/4$  of maximal sectional curvature of complex plane

- $\text{rk}(\mathbb{C}\mathbb{P}^n) = 1$
- this is a the scale invariant statement

### 3.5 $G$ and $G \times G$

$L$  - a compact Lie group

- $G := L \times L$
- $\Theta = \text{flip}: \Theta(l, l') := (l', l)$
- $K := G^\Theta = L$  (diagonally embedded)
- $L = G/K$ ,
- projection  $G \rightarrow L: (l, l') \mapsto ll'^{-1}$
- metric on  $L$  is left-invariant metric determined by  $\text{Ad}(L)$ -invariant scalar product on  $L$
- every Lie group  $L$  with the left-invariant metric associated to the Killing form (or any other  $\text{Ad}(L)$ -invariant metric) is Riemannian symmetric
- $\theta_e = (-)^{-1}$

note: every scalar product on  $\mathfrak{l}$  induces left invariant metric

- get symmetric space property only for  $\text{Ad}$ -invariant metrics

## 4 Complex manifolds and the Kähler condition

### 4.1 Complex manifolds

recall from function theory:

- $U$  open in  $\mathbb{C}$
- $f : U \rightarrow \mathbb{C}$  smooth

**Definition 4.1.**  $f$  is called holomorphic if  $df(z)$  is complex linear.

equivalently:  $df$  commutes with  $i$

- check, that this is equivalent to Cauchy-Riemann equations

- $z = x + iy$
- $i\partial_x = \partial_y, i\partial_y = -\partial_x$
- $dx i = -dy dy = dx i$
- write  $f = u + iv$

$$df = \partial_x u dx + \partial_y u dy + i\partial_x v dx + i\partial_y v dy$$

$$- idf = -\partial_x v dx - \partial_y v dy + i\partial_x u dx + i\partial_y u dy$$

$$- df i = \partial_x u dx i + \partial_y u dy i + i\partial_x v dx i + i\partial_y v dy i = -\partial_x u dy + \partial_y u dx - i\partial_x v dy + i\partial_y v dx$$

$$- \text{read off: } \partial_x u = \partial_y v, \partial_y u = -\partial_x v, -\partial_x v = \partial_y u, \partial_y v = \partial_x u$$

- these are the Cauchy-Riemann equations:

$U$  open in  $\mathbb{C}^n$

$f : U \rightarrow \mathbb{C}^m$  smooth

**Definition 4.2.**  $f$  is holomorphic if  $df$  is complex linear.

this is equivalent to: the components of  $f$  are holomorphic in each variable separately

globalize to manifolds:

$M$  - manifold

$$- n = 2m = \dim(M)$$

- consider  $GL(m, \mathbb{C})$ -structure (represented by  $I \in \Gamma(\text{End}(TM)), I^2 = 1$ )

- i.e.  $(M, I)$  is almost complex

**Definition 4.3.** We say that  $M$  is a complex manifold if the almost complex structure is integrable.

this means:

- we can find at every point  $m$  coordinates  $z := (z_1, \dots, z_m)$  in  $\mathbb{C}^n$

- such that  $Tz(m') \circ I_{m'} = iTz(m')$  in  $\text{Hom}(T_{m'}M, \mathbb{C}^n)$  for all  $m'$  near  $m$

- this implies: the transition functions  $z \mapsto z'(z)$  between two coordinate systems are holomorphic



**Example 4.4.** basic example: open subsets of  $\mathbb{C}^n$  with standard coordinates □

$(M, I), (M', I')$  almost complex manifolds

-  $f : M \rightarrow M'$  smooth map

**Definition 4.5.** We say that  $f$  is holomorphic if  $Tf(m) \circ I(m) = I'(f(m)) \circ Tf(m)$  for all  $m$  in  $M$ .

- can talk about holomorphic functions on complex manifold

- note: if  $(M, I)$  is only almost complex, then there might be only very few of them

**Example 4.6.** this is without proof:

recall  $TM \otimes \mathbb{C} \cong T^{1,0}M \oplus T^{0,1}M$  decomposition into  $\pm 1$ -eigenspaces of  $I \otimes \text{id}_{\mathbb{C}}$

-  $\mathcal{X}^{0,1}(M)$  and  $\mathcal{X}^{1,0}(M)$  - sections of  $T^{0,1}M$  and  $T^{1,0}M$

**Lemma 4.7.**  $f : M \rightarrow \mathbb{C}$  is holomorphic if and only if  $Xf = 0$  for all  $X$  in  $\mathcal{X}^{0,1}(M)$ .

**Theorem 4.8** (Newlander-Nirenberg). Integrability of  $I$  is equivalent to  $[\mathcal{X}^{0,1}(M), \mathcal{X}^{0,1}(M)] \subseteq \mathcal{X}^{0,1}(M)$ .

Say that  $I$  is maximally non-integrable if for every  $m$  in  $M$  and every  $X$  in  $T_mM$  there are  $Y, Z$  in  $\mathcal{X}^{0,1}(M)$  such that  $[Y, Z](m) = X$ .

- this is the extreme case

- exists locally

- if  $I$  is maximally non-integrable, then all holomorphic functions are constant

- in general: if  $I$  is not integrable, then there are not enough holomorphic functions to build charts □

$f : (M, I) \rightarrow (M', I')$  - almost holomorphic

**Proposition 4.9.** If  $m'$  is a regular value of  $f$ , then the restriction  $I''$  of  $I$  to  $TN$  turns  $N := f^{-1}(m')$  into an almost complex manifold. If  $(M, I)$  and  $(M', I')$  are complex, then  $(N, I'')$  is again complex.

*Proof.* for  $m$  in  $N$ :

$Tf(m) \circ I(m) = I'(f(m)) \circ Tf(m)$  shows that  $I$  preserves  $\ker(Tf(m)) = T_m N$

- can restrict  $I$  to  $I''$

- for the second assertion we use that the implicit function theorem holds in the holomorphic context

**Exercise:** deduce the statement from the usual implicit function theorem

□

**Example 4.10.** . quadrics

-  $f(z_1, \dots, z_n) = z_1^2 + \dots + z_n^2 + 1$

-  $(0, 0, \dots, 0)$  is only singular point of  $f$

- 1 is only non-regular value

- 0 is regular value

-  $f^{-1}(0)$  is a quadric

- **make picture for  $n = 2$  (real/imaginary part)**

□

**Lemma 4.11.**  $(M, I)$  is a compact connected complex manifold, then every holomorphic function on  $M$  is constant.

*Proof.* - by maximum principle

-  $\phi : M \rightarrow \mathbb{C}$  holomorphic

-  $|\phi|$  must have maximum at  $m$

-  $\phi$  is constant along every holomorphic map  $\mathbb{C} \supseteq U \rightarrow M$  with  $0 \rightarrow m$

- use holomorphic coordinates in order to produce many such linear (in coordinates) maps

- conclude that  $\phi$  is constant near  $m$

- use connectedness of  $M$  to conclude that  $\phi$  is constant on  $M$

□

**Corollary 4.12.** *If  $(M, I)$  is a compact connected complex manifold, then every holomorphic map  $M \rightarrow \mathbb{C}^n$  is constant.*

in particular: there is no holomorphic embedding of  $M$  into  $\mathbb{C}^n$  for any  $n$

- this is in contrast to the real case

**Example 4.13.** complex torus

$$A := \mathbb{C}^n / (\mathbb{Z}^n + i\mathbb{Z}^n)$$

- is compact complex manifold (has even group structure)

- has no holomorphic embedding into  $\mathbb{C}^n$  □

## 4.2 The complex projective space

$\mathbb{C}\mathbb{P}^n$  - lines in  $\mathbb{C}^{n+1}$

-  $(z_0, \dots, z_n) \in \mathbb{C}^{n+1} \setminus \{0\}$  gives line  $\mathbb{C}(z_0, \dots, z_n)$

-  $(z'_0, \dots, z'_n)$  gives same line if and only if  $(z_0, \dots, z_n) = \lambda(z'_0, \dots, z'_n)$  for  $\lambda \in \mathbb{C}^*$

write  $[z_0 : \dots : z_n]$  for equivalence class, i.e., the point in  $\mathbb{C}\mathbb{P}^n$

-  $U_i := \{z_i \neq 0\}$  is open

-  $\phi_i : U_i \rightarrow \mathbb{C}^n$  chart

$$- \phi_i([z_0 : \dots : z_n]) := \left( \frac{z_0}{z_i}, \dots, \frac{\widehat{z_i}}{z_i}, \dots, \frac{z_n}{z_i} \right)$$

- check coordinate transition

- say:  $\phi_1 \circ \phi_0^{-1}$

$$- (u_1, \dots, u_n) \mapsto [1, u_1, \dots, u_n] \mapsto \left( \frac{1}{u_1}, \frac{u_2}{u_1}, \dots, \frac{u_n}{u_1} \right)$$

- is holomorphic

the charts above determine a complex structure on  $\mathbb{C}\mathbb{P}^n$

**Definition 4.14.** *A complex manifold  $(M, I)$  is called projective if it admits a holomorphic embedding  $(M, I) \rightarrow \mathbb{C}\mathbb{P}^n$ .*

- not every complex manifold is projective

- will see an obstruction later using Kähler class

### 4.3 The Fubini-Study metric

know  $U(n+1)$  acts transitively on  $\mathbb{C}\mathbb{P}^n$

-  $(u, [z]) \mapsto [uz]$  - this is matrix multiplication

- it acts by holomorphic transformations

-  $U(1) \times U(n)$  stabilizes  $[1, 0, \dots, 0]$

- want to determine Riemannian metric from symmetric space presentation at this point explicitly

- know: work with form  $A \mapsto \text{tr}(A^*A)$  on  $\mathfrak{u}(n+1)$

$T_{[1,0,\dots,0]}\mathbb{C}\mathbb{P}^n \cong \mathbb{C}^n$  using chart  $\phi_0$

- is identified with  $\mathfrak{p}$  in  $\mathfrak{u}(n+1)$  by

$$(x_1, \dots, x_n) \mapsto A(x) := \sum_{i=1}^n x_i E_{i,0} - \bar{x}_i E_{0,i}$$

$$\begin{aligned} A(x)^* A(x) &= \left( \sum_{i=1}^n x_i E_{i,0} - \bar{x}_i E_{0,i} \right)^* \left( \sum_{i=1}^n x_i E_{i,0} - \bar{x}_i E_{0,i} \right) \\ &= \left( \sum_{i=1}^n \bar{x}_i E_{0,i} - x_i E_{i,0} \right) \left( \sum_{i=1}^n x_i E_{i,0} - \bar{x}_i E_{0,i} \right) \\ &= \sum_i |x_i|^2 E_{00} + \sum_i |x_i|^2 E_{ii} \\ \text{tr}(A(x)^* A(x)) &= (n+1) \|x\|^2 \end{aligned}$$

- thus metric at  $[1, 0, \dots, 0]$  in chart is (up to scale) standard metric on  $\mathbb{C}^n$

- metric is completely determined by value at  $T_{[1,0,\dots,0]}\mathbb{C}\mathbb{P}^n$  and  $U(n+1)$ -invariance

**Remark 4.15.** for curiosity determine formula on all of  $\mathbb{C}^n$  (image of the chart):

-  $U(n+1)$  acts on  $S^{2n+1}$  in  $\mathbb{C}^{n+1}$

- stabilizer of  $(1, 0, \dots, 0)$  is  $U(n)$

-  $U(1)$  still acts from the right

- get  $S^{2n+1} \rightarrow \mathbb{C}\mathbb{P}^n$  -  $U(1)$ -principal bundle
- is necessarily Riemannian submersion if we equip  $S^{2n+1}$  with standard metric (by invariance)

on  $U_0$  have split

- $s_0 : \mathbb{C}^n \rightarrow S^{2n+1}$
- $s_0(z_1, \dots, z_n) = \frac{(1, z_1, \dots, z_n)}{\sqrt{1+\|z\|^2}}$
- $ds_0 = \frac{(0, dz_1, \dots, dz_n)}{\sqrt{1+\|z\|^2}} - \frac{1}{(1+\|z\|^2)^{2/3}} (1, z_1, \dots, z_n) \otimes z \cdot dz$
- second component is vertical
- vertical part of first component is  $\frac{(1, z_1, \dots, z_n)}{(1+\|z\|^2)^{3/2}} \bar{z} \cdot dz$
- horizontal component is
- $\frac{(0, dz_1, \dots, dz_n)}{\sqrt{1+\|z\|^2}} - \frac{(1, z_1, \dots, z_n)}{(1+\|z\|^2)^{3/2}} \bar{z} \cdot dz$
- metric is

$$\begin{aligned} & \frac{d\bar{z} \otimes dz}{1 + \|z\|^2} + \frac{z \cdot d\bar{z} \otimes \bar{z} \cdot dz}{(1 + \|z\|^2)^2} - 2 \frac{z \cdot d\bar{z} \otimes \bar{z} \cdot dz}{(1 + \|z\|^2)^2} \\ &= \frac{d\bar{z} \otimes dz}{1 + \|z\|^2} - \frac{z \cdot d\bar{z} \otimes \bar{z} \cdot dz}{(1 + \|z\|^2)^2} \end{aligned}$$

□

#### 4.4 Kähler geometry

$(M, I)$  almost complex manifold

- $g$  Riemannian metric

**Definition 4.16.** We say that  $I$  and  $g$  are compatible if  $I^* = -I$ .

**Example 4.17.** on  $\mathbb{C}^n$  with standard metric  $z \mapsto \Re(\bar{z} \cdot z)$

- multiplication by  $i$  satisfies:  $i^* = -i$
- hence the same on  $\mathbb{C}\mathbb{P}^n$  with Fubini-Study -  $I^* = -I$  (the complex structure is antiselfadjoint) □

assume that  $g$  and  $I$  are compatible

**Definition 4.18.** The form  $\omega := g(I-, -)$  in  $\Omega^2(M)$  is called the Kähler form.

**Definition 4.19.**  $(M, g, I)$  is called almost Kähler if  $d\omega = 0$ . It is Kähler if in addition  $I$  is integrable.

assume  $(M, g, I)$  given

-  $g, I$  compatible

**Lemma 4.20.**  $(M, g, I)$  is Kähler if and only if  $\nabla I = 0$ .

*Proof.* only one conclusion feasible at this point:

$\nabla I = 0$  implies  $d\omega = 0$ :

- since  $\nabla g = 0$  have

-  $(\nabla_X \omega)(Y, Z) = g((\nabla_X I)Y, Z)$

- the following conditions are equivalent

-  $\nabla I = 0$

-  $\nabla \omega = 0$

-  $0 = (\nabla_X \omega)(Z, Y) = X(\omega(Y, Z)) - \omega(\nabla_X Y, Z) - \omega(Y, \nabla_X Z)$

$$\begin{aligned}
 d\omega(X, Y, Z) &= X(\omega(Y, Z)) - Y\omega(X, Z) + Z\omega(X, Y) - \omega([X, Y], Z) + \omega([X, Z], Y) - \omega([Y, Z], X) \\
 &= \omega(\nabla_X Y, Z) + \omega(Y, \nabla_X Z) - \omega(\nabla_Y X, Z) - \omega(X, \nabla_Y Z) + \omega(\nabla_Z X, Y) + \omega(X, \nabla_Z Y) \\
 &\quad - \omega([X, Y], Z) + \omega([X, Z], Y) - \omega([Y, Z], X) \\
 &= 0
 \end{aligned}$$

□

**Lemma 4.21.**  $\mathbb{C}\mathbb{P}^n$  is Kähler.

*Proof.*  $\omega$  is  $U(n+1)$  invariant (since  $I$  and  $g$  are) -  $\omega$  is parallel

-  $d\omega = 0$

- $I$  is integrable
- have seen this independently (also  $\nabla I = 0$  since  $I$  is invariant)

□

$(M', g', I')$  - Kähler (e.g.  $\mathbb{C}^n$  or  $\mathbb{C}\mathbb{P}^n$ )

consider complex submanifold  $i : M \subseteq M'$

- get an induced metric  $g^M := i^*g^{M'}$
- complex structure integrable
- induced Kähler form  $\omega^M = i^*\omega^{M'}$
- $d\omega^M = di^*\omega^{M'} = i^*d\omega^{M'} = 0$

**Corollary 4.22.** *A complex submanifold of a Kähler manifold is again Kähler (with the induced structure).*

affine or projective manifolds admit Kähler metrics

consider almost Kähler manifold  $(M, I, g)$

- $\omega$ - Kähler form
- $\omega^n$  is a volume form
- i.e.  $\omega$  is symplectic
- $GL(n, \mathbb{C}) \subseteq GL(2n, \mathbb{R})^+$  - i.e. complex manifolds are oriented
- $M$  is closed, then  $\int_M \omega^n > 0$
- $[\omega] \in H_{dR}^2(M)$
- $[\omega]^n \neq 0$

**Corollary 4.23.** *A closed almost almost Kähler manifold has a class  $c$  in  $H_{dR}^2(M)$  such that  $c^n \neq 0$ .*

**Example 4.24.**  $S^{2n}$  for  $n \geq 2$  does not have such a class

- has no almost Kähler metric

□

## 5 De Rham cohomology

### 5.1 Basic theory

$M$ - manifold

- consider chain complex

$$(\Omega^*(M), d) : \Omega^0(M) \xrightarrow{d} \Omega^1(M) \xrightarrow{d} \Omega^2(M) \xrightarrow{d} \dots$$

- the de Rham complex, often denoted shortly by  $\Omega^*(M)$

**Definition 5.1.** *The de Rham cohomology of  $M$  is the cohomology of the de Rham complex:*

$$H_{\text{dR}}^k(M) := \frac{\ker(d : \Omega^k(M) \rightarrow \Omega^{k+1}(M))}{\text{im}(d : \Omega^{k-1}(M) \rightarrow \Omega^k(M))}.$$

by definition:  $H_{\text{dR}}^k(M)$  is a real vector space

**Example 5.2.**

$$H_{\text{dR}}^k(*) \cong \begin{cases} \mathbb{R} & k = 0 \\ 0 & \text{else} \end{cases}$$

**Example 5.3.**  $H_{\text{dR}}^0(M) = \mathbb{R}[\pi_0(M)]$

-  $\ker(d : \Omega^0(M) \rightarrow \Omega^1(M))$  is vector space of locally constant functions □

consider smooth map  $f : M \rightarrow M'$

- induces  $f^* : (\Omega^*(M'), d) \rightarrow (\Omega^*(M), d)$

- morphism of chain complexes:  $df^* = f^*d$

- get induced map:  $f^* : H_{\text{dR}}^k(M') \rightarrow H_{\text{dR}}^k(M)$

- composition:  $(f \circ g)^* = g^* \circ f^*$

**Corollary 5.4.** *We have a de Rham cohomology functor  $H_{\text{dR}}^* : \mathbf{Mf}^{\text{op}} \rightarrow \mathbf{Vect}_{\mathbb{R}}^{\text{Zgr}}$ .*

consider smooth homotopy:  $h : [0, 1] \times M \rightarrow M'$  between  $h_0$  and  $h_1$

- define  $H : \Omega(M') \rightarrow \Omega(M)[-1]$



- degree  $-1$ -map
- $H(\omega) := \int_0^1 \iota_{\partial_t} h^* \omega dt$
- make clear that you understand the meaning of this formula

— here are the details

—  $h^* \omega(t, -) = \omega_0(t) + dt \wedge \omega_1(t)$

—  $\omega_i(t) \in \Omega^*(M)$

—  $\int_0^1 \iota_{\partial_t} h^* \omega dt = \int_0^1 \omega_1(t) dt$

- use Cartan formula:  $\mathcal{L}_{\partial_t} = \iota_{\partial_t} d + d \iota_{\partial_t}$

$$\begin{aligned} dH\omega &= \int_0^1 d \iota_{\partial_t} h^* \omega dt \\ &= \int_0^1 (\mathcal{L}_{\partial_t} h^* \omega - \iota_{\partial_t} d h^* \omega) dt \\ &= h_1^* \omega - h_0^* \omega - Hd\omega \end{aligned}$$

$$dH + Hd = h_1^* - h_0^*$$

-  $H$  is chain homotopy between  $h_1^*$  and  $h_0^*$

**Corollary 5.5.** *The functor  $H_{\text{dR}}$  is homotopy invariant: In the above situation  $h_0^* = h_1^* : H_{\text{dR}}(M') \rightarrow H_{\text{dR}}(M)$ .*

**Example 5.6.**  $H_{\text{dR}^n}^*(\mathbb{R}) \cong H_{\text{dR}}^*(*)$

- the inclusion  $i : * \rightarrow \mathbb{R}^n$  is a homotopy equivalence

- inverse  $p : \mathbb{R}^n \rightarrow *$

-  $p \circ i = \text{id}_*$

-  $h : [0, 1] \times \mathbb{R}^n \rightarrow \mathbb{R}^n$

-  $h(u, x) := ux$  is homotopy from  $i \circ p$  to  $\text{id}_{\mathbb{R}^n}$

□

$M$  manifold

-  $U, V$  open  $u : U \rightarrow M, v : V \rightarrow M$  inclusions

-  $U \cup V = M$

-  $a : U \cap V \rightarrow U, b : V \cap U \rightarrow V$  inclusions

have exact sequence

$$0 \rightarrow \Omega(M) \xrightarrow{u^* \oplus v^*} \Omega(U) \oplus \Omega(V) \xrightarrow{a^* - b^*} \Omega(U \cap V) \rightarrow 0$$

**Exercise: prove exactness**

- exactness at  $\Omega(M)$  and  $\Omega(U) \oplus \Omega(V)$  is clear

- sheaf property of smooth sections of a vector bundle

— exactness as  $\Omega(U \cap V)$ :

— choose partition of unity  $(\chi, \kappa)$  associated to  $(U, V)$

— assume  $\alpha \in \Omega(U \cap V)$

— consider  $\kappa\alpha \oplus -\chi\alpha \in \Omega(U) \oplus \Omega(V)$

—  $a^*\kappa\alpha - b^*(-\chi\alpha) = (\kappa|_{U \cap V} + \chi|_{U \cap V})\alpha = \alpha$

**Corollary 5.7** (Mayer-Vietoris sequence). *We have a long exact sequence*

$$H_{\text{dR}}^{k-1}(U \cap V) \xrightarrow{\partial} H_{\text{dR}}^k(M) \xrightarrow{u^* \oplus v^*} H_{\text{dR}}^k(U) \oplus H_{\text{dR}}^k(V) \xrightarrow{a^* - b^*} H_{\text{dR}}^k(U \cap V) .$$

**Remark 5.8.** here is an explicite description of the boundary operator using the partition of unity from above

-  $[\omega] \in H_{\text{dR}}^k(U \cap V)$

-  $d\chi|_{U \cap V} - d\kappa|_{U \cap V}$  has compact support in  $U \cap V$

- define  $(d\chi|_{U \cap V} - d\kappa|_{U \cap V}) \wedge \omega$  in  $\Omega^{k+1}(M)$  by extension by zero

get

$$\partial[\omega] = [(d\kappa|_{U \cap V} - d\chi|_{U \cap V}) \wedge \omega]$$

□

**Example 5.9.** decompose  $S^n$  into complements  $S_+^n$  and  $S_-^n$  of south and north pole

- $S_\pm^n$  are homotopy equivalent to  $*$
- $S_+^n \cap S_-^n$  is homotopy equivalent to  $S^{n-1}$

conclude inductively for  $n \geq 1$

$$H_{\text{dR}}^k(S^n) \cong \begin{cases} \mathbb{R} & k = 0, n \\ 0 & \text{else} \end{cases}$$

exercise: details

**Example 5.10.** assume  $M$  is oriented, closed

- $\dim(M) = n$
- $\int_M d\omega = 0$  by Stokes
- get  $\int_M : H_{\text{dR}}^n(M) \rightarrow \mathbb{R}$
- let  $\omega$  be any volume form
- $\int_M \omega > 0$  shows:  $H_{\text{dR}}^n(M) \neq 0$  □

$\wedge : \Omega(M) \otimes \Omega(M) \rightarrow \Omega(M)$  is map of complexes

- $d(\alpha \wedge \omega) = d\alpha \wedge \omega + (-1)^{|\alpha|} \alpha \wedge d\omega$
- get cup product  $\cup : H_{\text{dR}}^*(M) \otimes H_{\text{dR}}^*(M) \rightarrow H_{\text{dR}}^*(M)$
- is natural for maps

**Example 5.11.**  $H_{\text{dR}}^*(S^n) = \mathbb{R}[x]/(x^2)$

- $\deg(x) = n$

have map:  $\Omega(M) \otimes \Omega(M') \rightarrow \Omega(M \times M')$

**Proposition 5.12** (Küenneth formula). *If one of the factors is compact, then induced map  $H_{\text{dR}}^*(M) \otimes H_{\text{dR}}^*(M') \rightarrow H_{\text{dR}}^*(M \times M')$  is an isomorphism*

*Proof.* Note:  $\Omega(M) \otimes \Omega(M') \rightarrow \Omega(M \times M')$  is not an isomorphism

cover  $M'$  by finitely open sets such that all multiple intersections are contractible

- choose Riemannian metric and take small convex geodesic balls

- argue by induction by the number of members of such a covering
- then argue by induction
- add one member of the covering in each step
- use Mayer-Vietoris and five Lemma

□

**Example 5.13.**  $T^n = S^1 \times \dots \times S^1$  -  $n$  factors

$H_{\text{dR}}^*(S^1) \cong \mathbb{R}[x]$ ,  $x$  in degree 1 (therefore  $x^2 = 0$ )

$H_{\text{dR}}(T^n) \cong \mathbb{R}[x_1] \otimes \dots \otimes \mathbb{R}[x_n] \cong \mathbb{R}[x_1, \dots, x_n]$  (this is  $\Lambda^* \mathbb{R}^n$ )

□

## 5.2 Cohomology of quotients

$\Gamma$ - finite group

- $\mathbb{R}[\Gamma]$  - group ring
- generated over  $\mathbb{R}$  by elements of  $\Gamma$  subject to relation  $\gamma \cdot \gamma' = \gamma\gamma'$
- here  $\cdot$  - ring multiplication

**Lemma 5.14.** *We have an equivalence of categories:*

$$\mathbb{R}\text{-vector spaces with } \Gamma\text{-action} \simeq \mathbb{R}[\Gamma]\text{-modules}$$

*Proof.* - every action of  $\Gamma$  extends uniquely to an  $\mathbb{R}[\Gamma]$ -module structure

- as  $\Gamma \subseteq \mathbb{R}[\Gamma]^\times$  - every  $\mathbb{R}[\Gamma]$ -module induces a  $\Gamma$ -action on the underlying  $\mathbb{R}$ -vector space □

**Example 5.15.**  $\mathbb{R}$  has  $\mathbb{R}[\Gamma]$ -module structure corresponding to trivial  $\Gamma$ -action □

have functor  $V \mapsto V^\Gamma$

- in the language of  $\mathbb{R}[\Gamma]$ -modules:  $V^\Gamma := \text{Hom}_{\mathbb{R}[\Gamma]}(\mathbb{R}, V)$

**Lemma 5.16.** *The functor  $V \mapsto V^\Gamma$  from real vector spaces with  $\Gamma$ -action to real vector spaces is exact.*

*Proof.*  $P := \frac{1}{|\Gamma|} \sum_{\gamma \in \Gamma} \gamma$  in  $\mathbb{R}[\Gamma]$

- is projection onto submodule  $V^\Gamma$

- can decompose any exact sequence into a sum of images of  $P$  and  $1 - P$

- these are exact too □

general: an exact functor like  $(-)^{\Gamma}$  descends through cohomology

$\tilde{M}$  - with free action of  $\Gamma$

-  $M := \tilde{M}/\Gamma$

-  $\pi : \tilde{M} \rightarrow M$

**Lemma 5.17.**  $\pi^* : H_{\text{dR}}(M) \rightarrow H_{\text{dR}}(\tilde{M})^\Gamma$  is an isomorphism.

*Proof.* -  $p^* : \Omega(M) \rightarrow \Omega(\tilde{M})^\Gamma$  is isomorphism

- hence  $H_{\text{dR}}^*(M) = H^*(\Omega(M)) \cong H^*(\Omega(\tilde{M})^\Gamma) \cong H^*(\Omega(\tilde{M}))^\Gamma = H_{\text{dR}}^*(\tilde{M})^\Gamma$  □

**Example 5.18.** antipodal map acts on  $H_{\text{dR}}^n(S^n)$  by  $(-1)^{n+1}$

-  $H_{\text{dR}}^*(\mathbb{R}P^{2n}) \cong \begin{cases} \mathbb{R} & k = 0 \\ 0 & \text{else} \end{cases}$

-  $H_{\text{dR}}^*(\mathbb{R}P^{2n+1}) \cong \begin{cases} \mathbb{R} & k = 0, 2n + 1 \\ 0 & \text{else} \end{cases}$  □

$G$  compact Lie group

-  $G_0$  connected component of identity

$1 \rightarrow G_0 \rightarrow G \rightarrow \pi_0(G) \rightarrow 0$

assume  $G$  acts on  $M$

-  $G_0$  acts trivially on  $H_{\text{dR}}^*(M)$  by homotopy invariance

-  $\pi_0(G)$  acts on  $H_{\text{dR}}^*(M)$

**Lemma 5.19.** *We have an isomorphism*

$$H^*(\Omega(M)^G) \cong H_{dR}^*(M)^{\pi_0(G)} .$$

*Proof.* 1.) show  $H^*(\Omega(M)^{G_0}) \cong H_{dR}^*(M)$

2.) then apply  $(-)^{\pi_0(G)}$  and conclude  $H^*(\Omega(M)^G) \cong H_{dR}^*(M)^{\pi_0(G)}$

remains to show 1.)

define  $P : \Omega(M) \rightarrow \Omega(M)$

$$P\omega := \int_G g^* \omega dg$$

-normalize  $dg$  such that  $\int_G dg = 1$

- is chain map:  $dP(\omega) := d \int_G g^* \omega dg = \int_G dg^* \omega dg = \int_G g^* d\omega dg = P(d\omega)$

- is projection into  $\Omega(M)^G$

- cover  $G_0$  by finitely many contractible sets  $U_1, \dots, U_r$

- can assume that all contain  $e$

- choose partition of unity  $\chi_1, \dots, \chi_n$

- use homotopy formula applied to contraction of  $U_i$  to find

-  $H(g)_i : \Omega(M) \rightarrow \Omega(M)[-1]$  for  $g$  in  $U_i$  (continuous in  $g$ )

-  $dH(g)_i \omega - H(g)_i d\omega = g^* \omega - \omega$

- define  $H := \sum_{i=1}^r \int_{G_0} \chi_i(g) H(g)_i dg$

-  $dH\omega - Hd\omega = \sum_{i=1}^r \int_{G_0} \chi_i(g) (g^* \omega - \omega) dg = P(\omega) - \omega$

$P$  is chain homotopic to identity

- inclusion  $\Omega(M)^{G_0} \rightarrow \Omega(M)$  is chain homotopy equivalence

□

consider Riemann symmetric pair  $(G, K)$  of compact type

- assume that  $G$  is connected

- set  $M := G/K$

-  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  Cartan decomposition

**Proposition 5.20.** *We have an isomorphism of rings  $H_{\text{dR}}^*(G/K) \cong (\Lambda^* \mathfrak{p}^*)^K$ .*

*Proof.*  $H_{\text{dR}}^*(G/K) \cong H^*(\Omega(G/K)^G)$  by Lemma 5.19

- every  $G$ -invariant form is determined by its value at  $e$ , this is an element in  $\Lambda^* \mathfrak{p}^*$

-  $K$  still acts, hence  $G$ -invariance implies that value is in  $(\Lambda^* \mathfrak{p}^*)^K$

- vice versa, every element in  $(\Lambda^* \mathfrak{p}^*)^K$  extends uniquely to  $G$ -invariant form

- conclude  $\Omega(G/K)^G \cong (\Lambda^* \mathfrak{p}^*)^K$  - is isomorphism of rings

- every  $G$ -invariant tensor is parallel

- every  $G$ -invariant form is parallel

— hence every  $G$ -invariant form is closed

— hence differential on  $\Omega(G/K)^G$  is trivial

conclude

$$H^*(\Omega(G/K)^G) \cong (\Lambda^* \mathfrak{p}^*)^K$$

□

**Example 5.21.** want to calculate  $H_{\text{dR}}(S^n)$  using this method

$$S^n \cong SO(n+1)/SO(n)$$

-  $\mathfrak{p} \cong \mathbb{R}^n$  with standard action of  $SO(n)$

$$- (\Lambda^* \mathbb{R}^{n,*})^{SO(n)} \cong \mathbb{R}[x]/(x^2)$$

$$- \deg(x) = n$$

how to see this:

-  $SO(n)$  acts degree-preserving

- can calculate invariants degree-wise

$$- I_n^k := (\Lambda^k \mathbb{R}^{n,*})^{SO(n)}$$

induction by  $n$

$n = 0, 1$

-  $I_0^* \cong \mathbb{R}[x]/(x^1)$ ,  $\deg(x) = 0$

-  $I_1^* \cong \Lambda^0 \mathbb{R}^* \oplus \Lambda^1 \mathbb{R}^* \cong \mathbb{R}[x]/(x^2)$ ,  $\deg(x) = 1$

- for step  $n - 1 \rightarrow n$  (with  $n \geq 2$ ) : have  $SO(n - 1)$ -equivariant split exact sequence

-

$$0 \rightarrow \Lambda^{k-1} \mathbb{R}^{n-1,*} \xrightarrow{e^n \wedge} \Lambda^k \mathbb{R}^{n,*} \xrightarrow{res} \Lambda^k \mathbb{R}^{n-1,*} \rightarrow 0$$

- induces

$$0 \rightarrow I_{n-1}^{k-1} \rightarrow (\Lambda^k \mathbb{R}^{n,*})^{SO(n-1)} \rightarrow I_{n-1}^k \rightarrow 0$$

- have  $I_n^k \subseteq (\Lambda^k \mathbb{R}^{n,*})^{SO(n-1)}$

have  $I_n^0 = (\Lambda^0 \mathbb{R}^{n,*})^{SO(n)} \cong \mathbb{R}$

- by induction  $I_{n-1}^{n-1}$  is generated by  $e^1 \wedge \dots \wedge e^{n-1}$

- the image of  $e^n \wedge I_{n-1}^{n-1} \rightarrow (\Lambda^n \mathbb{R}^n)^{SO(n-1)}$  is generated by  $e^1 \wedge \dots \wedge e^n$  is  $SO(n)$  invariant

- contributes to  $I_n^n$

-  $I_{n-1}^n = 0$

- together  $I_n^n \cong \mathbb{R}$

- show  $I_n^k = 0$  for  $k = 1, \dots, n - 1$

-  $k = 1$

—  $(\Lambda^1 \mathbb{R}^n)^{SO(n)} \cong 0$

-  $k = 2, \dots, n - 2$ :

-  $I_{n-1}^{k-1} = 0$  and  $I_{n-1}^k = 0$  by induction assumption

— conclude  $I_n^k = 0$

remains  $k = n - 1$ :  $I_n^{n-1} = (\Lambda^{n-1} \mathbb{R}^{n,*})^{SO(n)} \cong (\Lambda^1 \mathbb{R}^n)^{SO(n)} \cong 0$

□



**Example 5.22.** this example shows that compactness of  $G$  is relevant:

$$H^n = SO(1, n)/SO(n)$$

$$- (\Lambda^* \mathfrak{p})^{SO(n)} = (\Lambda^* \mathbb{R}^n)^{SO(n)} \cong \mathbb{R}[x]/(x^2) -$$

-  $x$  in degree  $n$

- but  $H^n$  is contractible

$$- H_{\text{dR}}^n(H^n) \cong 0$$

$$- \text{but } (\Lambda^n \mathbb{R}^n)^{SO(n)} \cong \mathbb{R}$$

□

**Example 5.23.** want to calculate  $H_{\text{dR}}(\mathbb{C}\mathbb{P}^n)$

claim:  $H_{\text{dR}}(\mathbb{C}\mathbb{P}^n) \cong \mathbb{R}[x]/(x^{n+1})$  with  $\deg(x) = 2$

$$- \mathbb{C}\mathbb{P}^n \cong U(n+1)/U(1) \times U(n)$$

$$- \mathfrak{p} \cong \mathbb{C}^n \text{ with standard action of } U(n) \text{ and } U(1)$$

$$- (\Lambda^* \mathbb{C}^n)^{U(n) \times U(1)} - \text{note that we consider } \mathbb{C}^n \text{ as real vector space}$$

- argue by induction

$$- I_n^* := (\Lambda^* \mathbb{C}^n)^{U(n) \times U(1)}$$

$$- \text{use } \mathbb{C}^n \cong \mathbb{C}^{n-1} \oplus \mathbb{C}$$

— this is  $U(n-1) \times U(1)$ -equivariant

$$- \text{have inclusion } I_n^* \hookrightarrow I_{n-1}^* \otimes I_1^*$$

$$- \text{now } I_1^* \cong \mathbb{R} \oplus \mathbb{R}[2]$$

$$- \text{use } U(1) = SO(2), \mathbb{C} \cong \mathbb{R}^2$$

- show:  $I_n^{2n} \cong I_{n-1}^{2n-2} \otimes I_1^2$  by showing that the elements of the r.h.s. are  $U(n)$ -invariant

- have restriction  $I_n^* \rightarrow I_{n-1}^*$  whose kernel is  $I_{n-1}^* \otimes I_1^{\geq 1}$

- show that this is surjective

- conclude above inclusion is surjective in all degrees (details **exercise?**)

□

$(G, K)$  - symmetric pair

$$\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$$

- recall:  $\Omega(G/K)^G \cong (\Lambda^* \mathfrak{p}^*)^K$

How to construct elements in  $(\Lambda^* \mathfrak{p}^*)^K$ ?

-  $R : \Lambda^2 \mathfrak{p} \rightarrow \mathfrak{k}$ ,  $R(X, Y) := [X, Y]$  is  $\text{Ad}(K)$ -equivariant

-  $R^* : S^*(\mathfrak{k}^*) \rightarrow S^*(\Lambda^2 \mathfrak{p}^*) \rightarrow \Lambda^{\text{ev}} \mathfrak{p}^*$

- restricts to  $R^* : S^*(\mathfrak{k}^*)^K \rightarrow (\Lambda^{\text{ev}} \mathfrak{p}^*)^K$

**Example 5.24.** Grassmannian  $G(k, n, \mathbb{C})$ :

manifold of  $k$ -dimensional subspaces of  $\mathbb{C}^n$

-  $G := U(n)$  acts transitively on  $G(k, n, \mathbb{C})$

- stabilizer of  $\mathbb{C}^k$ :  $K := U(k) \times U(n - k)$

-  $G(k, n, \mathbb{C}) \cong U(n)/(U(k) \times U(n - k))$  as homogeneous space

is symmetric:

- use involution given by conjugation by  $\text{diag}(\underbrace{1, \dots, 1}_{k \times}, \underbrace{-1, \dots, -1}_{n-k \times})$

- block matrices

$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}$$

$$\mathfrak{p} = \begin{pmatrix} 0 & B \\ -B^* & 0 \end{pmatrix}, \quad B \in \text{Mat}(k, n - k, \mathbb{C})$$

- adjoint action of  $U(k) \times U(n - k)$  is  $(u, v)B = uBv^{-1}$

construct elements of  $S^*(\mathfrak{k}^*)^K$

- consider  $\mathfrak{u}(k)$

-  $\mathfrak{u}(k) \ni X \mapsto \det(1 + tX) := 1 + te_1 + t^2e_2 + \dots + t^ke_k$

-  $e_i$  are homogeneous polynomials on  $\mathfrak{u}(k)$

- $\deg(e_i) = i$
- $e_i$  is  $\text{Ad}(U(k))$  - invariant
- $e_i \in S^i(\mathfrak{u}(k)^*)^{U(k)}$

special cases:

- $e_1(X) = \text{Tr}(X)$
- $e_k(X) = \det(X)$
- $S^*(\mathfrak{u}(k)^* \oplus \mathfrak{u}(n-k)^*) \cong S^*(\mathfrak{u}(k)^*) \otimes S(\mathfrak{u}(n-k)^*)$  contains
  - $a_i := e_i \otimes 1, i = 1, \dots, k$
  - $b_j := 1 \otimes e_j, j = 1, \dots, n-k$
  - $c_i := R^*a_i$  in  $i = 1, \dots, k,$
  - $\deg(c_i) = 2i$
  - $d_j := R^*b_j, j = 1, \dots, n-k$
  - $\deg(d_j) = 2j$

get homomorphism of graded rings  $\mathbb{R}[c_1, \dots, c_k, d_1, \dots, d_{n-k}] \rightarrow (\Lambda^*\mathfrak{p}^*)^K$

**Proposition 5.25.** *This map induces an isomorphism*

$$\frac{\mathbb{R}[c_1, \dots, c_k, d_1, \dots, d_{n-k}]}{(\sum_{i=0}^l c_i d_{i-l} = 0 \mid l = 1, \dots, n)} \rightarrow (\Lambda^*\mathfrak{p}^*)^K .$$

- set  $c_0 = 1, d_0 = 1$  and  $c_i = 0$  for  $i > k$  and  $d_j = 0$  for  $j > n-k$

proof and determination of relations goes beyond this course

- can calculate cohomology ring using algebraic topology (Serre spectral sequence)
- deduce proposition and relations from this

**Corollary 5.26.** *We have an isomorphism*

$$\frac{\mathbb{R}[c_1, \dots, c_k, d_1, \dots, d_{n-k}]}{(\sum_{i=0}^l c_i d_{i-l} = 0 \mid l = 1, \dots, n)} \rightarrow H_{\text{dR}}(G(k, n, \mathbb{C})) .$$

check case  $k = 1$  (projective space)

generators:  $c_1, d_1, \dots, d_{n-1}$

- relations:  $c_1 + d_1 = 0, c_1 d_1 + d_2 = 0, \dots, c_1 d_{n-2} + d_{n-1} = 0$

- can eliminate  $c_1, d_2, \dots, d_{n-1}$

-  $d_2 = d_1^2, \dots, d_{n-1} = d_1^{n-1}, 0 = d_1^n$

-  $\mathbb{R}[d_1]/(d_1^n) \cong H_{\text{dR}}^*(\mathbb{C}\mathbb{P}^{n-1})$

□

in the next section we generalize this method

### 5.3 Chern-Weil theory - characteristic classes

$G$  - Lie group

-  $\pi : P \rightarrow M$  -  $G$ -principal bundle

**Definition 5.27.** A form  $\alpha$  in  $\Omega(P)$  is called horizontal, if  $\iota_X \alpha = 0$  for every vertical  $X$  in  $TP$ . It is called  $G$ -invariant, if  $R_g^* \alpha = \alpha$  for all  $g$  in  $G$

$\Omega(P)_{\text{hor}}^G \subseteq \Omega(P)$  - subspace of horizontal  $G$ -invariant forms

$\pi^* : \Omega^*(M) \rightarrow \Omega^*(P)$

**Lemma 5.28.**  $\pi^*$  induces an isomorphism  $\pi^* : \Omega^*(M) \rightarrow \Omega^*(P)_{\text{hor}}^G$

*Proof.*  $\omega \in \Omega^*(M)$

-  $\pi \circ R_g = \pi$  implies  $R_g^* \pi^* \omega = \pi^* \omega$

- conclude  $\pi^* \omega \in \Omega(P)^G$

$X$  vertical

-  $d\pi(X) = 0$

-  $\iota_X \pi^* \omega = 0$

- conclude  $\pi^* \omega \in \Omega(P)_{\text{hor}}$

$\pi$  is surjective submersion

-  $\pi^*$  is injective

- assume:  $\alpha \in \Omega(P)_{\text{hor}}^G$

-  $s : U \rightarrow P$  local section

-  $s^*\alpha$

- claim:  $s^*\alpha$  is independent of the choice of section

-  $s'$  another section

-  $s'(u) = s(u)g(u)$  for unique  $g : U \rightarrow G$

-  $u$  in  $U$ ,  $X$  in  $T_uM$

$$\begin{aligned} s'^*\alpha(u)(X) &= \alpha(s(u)g(u))(dR_{g(u)}(ds(u)(X))) + \alpha(s(u)g(u))(X^\sharp) \\ &= (R_{g(u)}^*\alpha)(s)(ds(u)(X)) \\ &= s^*\alpha(u)(X) \end{aligned}$$

where  $X^\sharp = dg(u)(X)^\sharp(s(u))$  is vertical

- get globally defined  $\omega$  in  $\Omega(M)$  with  $\omega|_U = s^*\alpha$

-  $\pi^*\omega = \alpha$

□

choose connection  $\omega$  in  $\Omega^1(P, \mathfrak{g})^G$

-  $\Omega := d\omega + [\omega, \omega]$  - curvature

- recall:  $\Omega \in \Omega^2(P, \mathfrak{g})_{\text{hor}}^G$

consider  $p$  in  $S^*(\mathfrak{g}^*)^G$

- form  $p(\Omega)$  in  $\Omega^{\text{ev}}(P)_{\text{hor}}^G$

- interpret  $\Omega : \Lambda^2 TP \rightarrow \mathfrak{g}$

- interpret  $p : S^*(\mathfrak{g})^G \rightarrow \mathbb{R}$

- then  $p(\Omega) := p \circ S^*(\Omega) : S^*(\Lambda^2 TP) \rightarrow \mathbb{R}$
- or equivalently:  $p(\Omega) \in S^*(\Omega^2(P)) \subseteq \Omega^{\text{ev}}(P)$
- actually:  $p(\Omega) \in \Omega^{\text{ev}}(P)_{\text{hor}}^G$

**Lemma 5.29.** *We have  $dp(\Omega) = 0$*

*Proof.* note:  $dp(X)([Y, X]) = 0$  by  $\text{Ad}(G)$ -invariance

- differentiate identity  $p(gXg^{-1}) = p(X)$  w.r.t  $g$

- $\Omega = d\omega + [\omega, \omega]$
- $[\omega, [\omega, \omega]] = 0$  by Jacobi
- $d\Omega = 2[d\omega, \omega] = 2[\Omega, \omega]$

$$\begin{aligned} dp(\Omega) &= 2dp(\Omega)(d\Omega) \\ &= 2dp(\Omega)([\Omega, \omega]) \\ &= 0 \end{aligned}$$

□

let  $c_p(\omega) \in \Omega(M)$  denote the closed form on  $M$  such that  $\pi^*c_p(\omega) = p(\Omega)$

$f : M' \rightarrow M$

-

$$\begin{array}{ccc} P' & \xrightarrow{F} & P \\ \downarrow & & \downarrow \\ M' & \xrightarrow{f} & M \end{array}$$

- pull-back
- $F^*\omega := \omega'$  connection
- have  $f^*c_p(\omega) = c_p(\omega')$

**Lemma 5.30.** *The class  $[c_p(\omega)]$  in  $H_{\text{dR}}(M)$  does not depend on  $\omega$ .*

*Proof.*  $\omega'$ - second choice

$$\tilde{P} := \text{pr}_M^* P \rightarrow [0, 1] \times M$$

$$- P_i = \tilde{P}_{\{i\} \times M}$$

-  $P_i \cong P$  canonically

- arrange  $\tilde{\omega}$  on  $\tilde{P}$  such that  $\tilde{\omega}|_{P_0} = \omega$  and  $\tilde{\omega}|_{P_1} = \omega'$

- e.g  $\tilde{\omega} = t\omega' + (1-t)\omega$

-  $c_p(\tilde{\omega}) \in \Omega([0, 1] \times M)$

-  $c_p(\tilde{\omega})_{\{0\} \times M} = c_p(\omega)$  and  $c_p(\tilde{\omega})_{\{1\} \times M} = \omega'$

-  $d \int_{[0,1] \times M/M} c_p(\tilde{\omega}) = \omega' - \omega$

□

fix Lie group  $G$

**Definition 5.31.** A characteristic class  $\mathbf{c}$  (of degree  $k$ ) associates to every manifold  $M$  and  $G$ -principal bundle  $P \rightarrow M$  a class  $\mathbf{c}(P)$  in  $H_{\text{dR}}^k(M)$  such that for every pull-back

$$\begin{array}{ccc} f^*P & \longrightarrow & P \\ \downarrow & & \downarrow \\ M' & \xrightarrow{f} & M \end{array}$$

we have  $f^*\mathbf{c}(P) = \mathbf{c}(f^*P)$ .

characteristic classes from a ring  $\text{ChW}(G)$

**Remark 5.32.** one can show that

$$\text{ChW}(G) \cong H^*(BG; \mathbb{R}) .$$

□

let  $\mathbf{c}$  be a characteristic class

**Lemma 5.33.** If  $\deg(\mathbf{c}) > 0$  and  $P$  is trivial, then  $\mathbf{c}(P) = 0$ .

*Proof.* have pull-back

$$\begin{array}{ccc} P & \longrightarrow & G \\ \downarrow & & \downarrow \\ M & \xrightarrow{f} & * \end{array}$$

-  $\mathbf{c}(G \rightarrow *) = 0$  (for degree-reasons)

-  $\mathbf{c}(P) = f^*\mathbf{c}(G \rightarrow *) = 0$

□

consider  $p$  in  $S^*(\mathfrak{g}^*)^G$

**Definition 5.34.** We let  $\mathbf{c}_p(P) \in H_{\text{dR}}(M)$  denote the class of  $c_p(\omega)$ .

this is the characteristic class  $\mathbf{c}_p$  for  $G$ -principal bundles associated to  $p$

- if  $p$  is homogeneous:  $\mathbf{c}_P$  is of degree  $2 \deg(p)$

**Corollary 5.35.** We have a homomorphism  $\mathbf{c} : S^*(\mathfrak{g}^*)^G \rightarrow \text{ChW}(G)$  (of degree 2)

**Example 5.36.**  $G = U(k)$

-  $\det(1 + tX) = 1 + tc_1 + \dots + t^k c_k$  defines  $c_i \in S^i(\mathfrak{u}(k)^*)^{U(k)}$

- these are non-zero

-  $\mathbf{c}_{c_i}$  has degree  $2i$

- is called the  $i$ th Chern class for  $U(k)$ -bundles

-  $U(n)/U(k) = V(k, n, \mathbb{C})$  is Stiefel manifold of  $k$ -dimensional subspaces with framed orthocomplement in  $\mathbb{C}^n$

- get  $U(k)$  principal bundle  $U(n) \rightarrow V(k, n, \mathbb{C})$

- get classes  $\mathbf{c}_{c_i} \in H_{\text{dR}}^{2i}(V(k, n, \mathbb{C}))$

- one can show that they generate cohomology

□

**Example 5.37.**  $U(k) \times U(n - k)$

-  $U(n)/U(k) \times U(n - k) = G(k, n, \mathbb{C})$  is Grassman manifold of  $k$ -dimensional subspaces in  $\mathbb{C}^n$



- get  $U(k) \times U(n - k)$  principal bundle  $U(n) \rightarrow G(k, n, \mathbb{C})$
- we used the classes  $\mathbf{c}_{a_i}$  and  $\mathbf{c}_{b_j}$  in the calculation of  $H_{\text{dR}}(G(k, n, \mathbb{C}))$  □

## 5.4 Duality

$M$  - manifold

$\Omega_c(M) \subseteq \Omega(M)$  subspace of compactly supported forms

- $d$  preserves compact support
- get subcomplex  $(\Omega_c(M), d)$  of  $(\Omega(M), d)$

**Definition 5.38.** *The cohomology  $H_{c,\text{dR}}^*(M) := H^*(\Omega_c(M), d)$  is called the compactly supported de Rham cohomology*

- contravariant functorial for proper maps
- $f : M \rightarrow M'$  is proper if  $f^{-1}(K)$  is compact for every compact  $K$  in  $M'$
- $\text{supp}(f^*\omega) = f^{-1}(\text{supp}(\omega))$
- $\text{supp}(\omega)$  compact implies  $\text{supp}(f^*\omega)$  is compact
- homotopy invariant for proper homotopies
- $h : [0, 1] \times M \rightarrow M'$  is proper homotopy if  $f$  is proper

inclusion  $\Omega_c(M) \rightarrow \Omega(M)$  induces

$$\iota : H_{c,\text{dR}}^*(M) \rightarrow H_{\text{dR}}^*(M)$$

- is ring homomorphism
- is an isomorphism if  $M$  is compact

wedge product :  $\wedge : \Omega_c(M) \otimes \Omega_c(M) \rightarrow \Omega_c(M)$

- induces cup product

$$\cup : H_{c,\text{dR}}^*(M) \otimes H_{c,\text{dR}}^*(M) \rightarrow H_{c,\text{dR}}^*(M)$$

(right) module structure  $\Omega_c(M) \otimes \Omega(M) \rightarrow \Omega_c(M)$

- induces module structure

$$\cup : H_{c,dR}^*(M) \otimes H_{dR}^*(M) \rightarrow H_{c,dR}^*(M)$$

new feature:

-  $(\Omega_c(M), d)$  and therefore  $H_{c,dR}^*(-)$  are covariantly functorial for open embedding:

- extension by zero

- notation  $i_!$

$M = U \cup V$  open decomposition

**Lemma 5.39.** *The complex*

$$0 \rightarrow \Omega_c(U \cap V) \xrightarrow{a_! \oplus b_!} \Omega_c(U) \oplus \Omega_c(V) \xrightarrow{u_! - v_!} \Omega_c(M) \rightarrow 0$$

*is exact.*

*Proof.* use partition of unity  $\chi \in C_c(U)$ ,  $\kappa = 1 - \chi \in C_c(V)$

check exactness:

$\Omega_c(U \cap V)$ : is clear

$\Omega_c(U) \oplus \Omega_c(V)$ :

-  $(\alpha, \beta)$  in  $\Omega_c(U) \oplus \Omega_c(V)$

- assume  $u_! \alpha - v_! \beta = 0$

- implies  $\text{supp}(\alpha) = \text{supp}(\beta) \subseteq U \cap V$

-  $(\alpha, \beta) = (a_! \alpha, b_! \alpha)$

$\Omega_c(M)$ :

- consider  $\gamma$  in  $\Omega_c(M)$

-  $(u_! - v_!)(\chi \gamma, -\kappa \gamma) = \gamma$

□

**Corollary 5.40.** *We have a long exact Mayer-Vietoris sequence*

$$H_{c,dR}^{k-1}(M) \xrightarrow{\partial} H_{c,dR}^k(U \cap V) \rightarrow H_{c,dR}^k(U) \oplus H_{c,dR}^k(V) \rightarrow H_{c,dR}^k(M) .$$

formula for  $\partial$ :

- $[\gamma]$  in  $H_{c,dR}^{k-1}(M)$
- claim:  $\partial[\gamma] = [d\chi \wedge \gamma]$
- $d(\chi\gamma, -\kappa\gamma) = (d\chi \wedge \gamma, -d\kappa\gamma)$
- $\text{supp}(d\chi \wedge \gamma) \subseteq \text{supp}(d\chi) \cap \text{supp}(\gamma)$
- is closed subset of  $\text{supp}(\gamma)$  and hence compact in  $M$
- is contained  $U \cap V$
- hence  $\text{supp}(d\chi \wedge \gamma)$  is compact in  $U \cap V$

consider  $M \times \mathbb{R}$

- integration map

$$P : \int_{M \times \mathbb{R}/M} \Omega_c(\mathbb{R} \times M) \rightarrow \Omega_c(M)[-1]$$

- note that differential in  $\Omega(M)[n]$  is  $(-1)^n d$

Stokes:  $P$  is chain map

- must check  $dP = Pd$
- decompose  $\omega = \omega_0 + dt \wedge \omega_1$
- $-dP(\omega) = -d \int_{\mathbb{R} \times M/M} \omega = - \int_{\mathbb{R}} d\omega_1(t) dt$
- $Pd(\omega) = \int_{\mathbb{R}} \partial_t \omega_0(t) - \int_{\mathbb{R}} d\omega_1(t) dt = - \int_{\mathbb{R}} d\omega_1(t) dt$

**Lemma 5.41.**  *$P$  induces an isomorphism*

$$H_{c,dR}(\mathbb{R} \times M) \rightarrow H_{c,dR}(M)[-1] .$$

*Proof.* let  $\chi \in C^\infty(\mathbb{R})$

- $\chi \equiv 1$  for  $t \geq 1$
- $\chi \equiv 0$  for  $t < 1$
- $d\chi \in \Omega_c^1(\mathbb{R})$

define  $E : \Omega_c(M)[-1] \rightarrow \Omega_c(\mathbb{R} \times M)$ ,  $\omega \mapsto d\chi \wedge \text{pr}_M^* \omega$

claim:  $E$  is a homotopy inverse

$P(E(\omega)) = \omega$  is clear

- construct chain homotopy  $\text{id}_{\Omega_c(\mathbb{R} \times M)} \sim E \circ P$
- $h : \mathbb{R} \times \mathbb{R} \times M \rightarrow \mathbb{R} \times M$
- $h(u, t, m) = (u + t, m)$
- define  $H : \Omega_c(\mathbb{R} \times M) \rightarrow \Omega_c(\mathbb{R} \times M)$
- $H(\omega)(t, m) := \int_{-\infty}^0 \iota_{\partial_u} h^*(\omega)(u, t, m) du - \chi(t)E(\omega)$
- first term is also  $\int_{-\infty}^t \omega_1(u) du$
- second term is also  $\chi(t) \int_{-\infty}^\infty \omega_1(u) du$
- get  $H(\omega)(m, t) = 0$  for  $|t| \gg 0$
- $(dH + Hd)(\omega) = \omega - d\chi \wedge E(P(\omega))$
- $H$  is desired chain homotopy
- altogether this shows:  $E$  is chain homotopy inverse to  $P$

□

**Corollary 5.42.**  $H_{c, \text{dR}}^k(\mathbb{R}^n) \cong \begin{cases} \mathbb{R} & k = n \\ 0 & \text{else} \end{cases}$

*Proof.* induction starting with  $k = 0$

□

from now on assume:  $M$  is oriented

define duality map  $D : \Omega_c(M) \rightarrow \Omega(M)^*[-n]$

-  $\omega \mapsto (\alpha \mapsto \int_M \omega \wedge \alpha)$

check: this is chain map

$$\begin{aligned}
 D(d\omega)(\alpha) &= \int_M d\omega \wedge \alpha \\
 &= \int_M d(\omega \wedge \alpha - (-1)^{\deg(\omega)} \omega \wedge d\alpha) \\
 &= -(-1)^{\deg(\omega)} D(\omega)(d\alpha) \\
 &= (-1)^n D(\omega)((-1)^{\deg(\alpha)} d\alpha) \\
 &= dD(\omega)(\alpha)
 \end{aligned}$$

- dualization  $V \mapsto V^* \text{Hom}(V, \mathbb{R})$  is exact functor on  $\mathbb{R}$ -vector spaces
- descends to cohomology
- for chain complex  $C$  of real vector spaces:  $H^k(C^*) \cong H^{-k}(C)^*$
- apply to de Rham complex:  $H^k(\Omega(M)^*[-n]) \cong H_{\text{dR}}^{n-k}(M)^*$

get induced duality map

$$- D : H_{c,\text{dR}}^k(M) \rightarrow H^k(\Omega(M)^*[-n]) \cong H_{\text{dR}}^{n-k}(M)^*$$

**Example 5.43.**  $D : H_{c,\text{dR}}^k(\mathbb{R}^n) \rightarrow H_{\text{dR}}^{n-k}(\mathbb{R}^n)^*$  is an isomorphism □

$i : M' \rightarrow M$  open embedding

**Lemma 5.44.**

$$\begin{array}{ccc}
 H_{c,\text{dR}}^*(M') & \xrightarrow{i_!} & H_{c,\text{dR}}^*(M) \\
 \downarrow D & & \downarrow D \\
 H_{\text{dR}}^{n-k}(M') & \xrightarrow{(i^*)^*} & H_{\text{dR}}^{n-k}(M)
 \end{array}$$

*commutes*

*Proof.*  $\int_{M'} \alpha \wedge i^* \omega = \int_M i_! \alpha \wedge \omega$  □

$M$  manifold

-  $\mathcal{U} = (U_\alpha)_\alpha$  a covering

-  $\mathcal{U}$  is called a good covering if all intersections  $U_{\alpha_1} \cap \dots \cap U_{\alpha_r}$  are diffeomorphic to  $\mathbb{R}^n$

**Lemma 5.45.** *If  $M$  admits a finite good covering, then  $D : H_{c,dR}^*(M) \rightarrow H_{dR}^*(M)^*$  is an isomorphism.*

*Proof.* induction by the size of covering

start: one set

- this is Example 5.43

induction:

May-Vietoris

- add one set

-  $M' \cup U = M$

- induction hypothesis applies to  $M'$  and  $M' \cap U$

$$\begin{array}{ccccccc}
 H_{c,dR}^{k-1}(M) & \xrightarrow{\partial} & H_{c,dR}^k(U \cap M') & \longrightarrow & H_{c,dR}^k(U) \oplus H_{c,dR}^k(M') & \longrightarrow & H_{c,dR}^k(M) \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 H_{dR}^{n-k+1}(M)^* & \xrightarrow{\partial^*} & H_{dR}^{n-k}(U \cap M')^* & \longrightarrow & H_{dR}^{n-k}(U)^* \oplus H_{dR}^{n-k}(M')^* & \longrightarrow & H_{dR}^{n-k}(M)^*
 \end{array}$$

must check that square involving boundary maps commutes

$a : U \rightarrow M$ ,  $b : M' \rightarrow M$ ,  $j : U \cap M' \rightarrow M$  inclusions

$[\gamma] \in H_{c,dR}^{k-1}(M)$

-  $\omega \in H_{dR}^{n-k}(U \cap M)$

- choose  $\chi \in C_c(U)$  such that  $1 - \chi \in C_c(M')$

- then  $\partial[\gamma] = [d\chi \wedge \alpha]$

$$D(\partial[\gamma])([\omega]) = \int_{U \cap M'} d\chi \wedge \alpha \wedge \omega$$

- then  $\partial[\omega] = [d\chi \wedge \omega]$

$$\begin{aligned}
\partial^* D[\gamma](\omega) &= (-1)^{n+k-1} D[\alpha](\partial\omega) \\
&= (-1)^{n+k-1} \int_M \alpha \wedge d\chi \wedge \omega \\
&= \int_M d\chi \wedge \alpha \wedge \omega \\
&= D(\partial[\gamma])([\omega])
\end{aligned}$$

finish argument by Five Lemma

□

**Corollary 5.46** (Poincar'e duality). *If  $M$  is  $n$ -dimensional, compact and oriented, then  $D : H_{\text{dR}}(M) \rightarrow H_{\text{dR}}(M)^*[-n]$  is an isomorphism.*

**Corollary 5.47.** *If  $M$  is  $n$ -dimensional, compact, oriented and connected, then  $H_{\text{dR}}^n(M) \cong \mathbb{R}$ .*

**Example 5.48.**  $H_{\text{dR}}(S^n) = \mathbb{R}[x]/(x^2)$

- duality:  $(p, q) \mapsto \partial_x pq|_{x=0}$

$H_{\text{dR}}(\mathbb{C}\mathbb{P}^n) = \mathbb{R}[x]/(x^{n+1})$ ,  $\deg(x) = 2$

- duality:  $(p, q) \mapsto (\frac{1}{n!} \partial_x^n pq)|_{x=0}$

$H_{\text{dR}}(T^n) = \mathbb{R}[x_1, \dots, x_n]$ ,  $\deg(x_i) = 1$

- duality:  $(p, q) \mapsto \int_B pq$

- Berezin integral: takes coefficient at  $x_1 \dots x_n$

□

**Example 5.49.** signature

$M$  compact, connected, oriented,  $n = 4m$ -dimensional

-  $D : H_{\text{dR}}^{2n}(M) \cong H_{\text{dR}}^{2n}(M)^*$  - duality

-  $(x, y)_M := D(x)(y) = \int_M x \cup y$

- $(-, -)_M$  is symmetric bilinear form on  $H_{\text{dR}}^{2n}(M)$
- this is called the intersection form of  $M$
- it is non-degenerated by Poincaré duality

classification of bilinear forms over  $\mathbb{R}$ :

$(-, -)_M$  is determined by  $b_{2m}^{\pm}$ :

-  $b_{2m}^+ + b_{2m}^- = b_{2m}$  - Betti number

**Definition 5.50.**  $\text{sign}(M) := b_{2m}^+ - b_{2m}^-$  is called the signature of  $M$

- $\text{sign}(M)$  is oriented homotopy invariant of  $M$
- $\text{sign}(M^{\text{op}}) = -\text{sign}(M)$  (orientation change)
- $\text{sign}(S^{4m}) = 0$
- $\text{sign}(S^{2m} \times S^{2m}) = 1$
- $\text{sign}(T^{4m}) = 0$
- $\text{sign}(\mathbb{C}\mathbb{P}^{2n}) = 1$

□

## 6 Riemannian geometry and de Rham cohomology

### 6.1 Hodge \*

$M$  manifold

- $n := \dim(M)$
- $g$  Riemannian metric
- induces metrics  $(-, -)$  on  $\Lambda^k T^*M$

at a point:

- $V$  - euclidean vector space
- $e_1, \dots, e_n$  - ONB of  $V$



- $e^1, \dots, e^n$  - dual basis of  $V^*$
- $e^{i_1} \wedge \dots \wedge e^{i_k}$  for  $i_1 < \dots < i_k$  forms ONB of  $\Lambda^k V^*$

assume  $M$  is oriented

- metric induces volume form  $\text{vol}$  in  $\Omega^n(M)$

at a point:

$e^1, \dots, e^n$  oriented ONB

- $\text{vol} = e^1 \wedge \dots \wedge e^n$

have non-degenerate pairing

$$- \langle -, - \rangle : \Lambda^k T^* M \otimes \Lambda^{n-k} T^* M \xrightarrow{\wedge} \Lambda^n T^* M \xrightarrow{\text{vol}^{-1}} M \times \mathbb{R}$$

at a point:

$$- i = i_1 < \dots < i_k$$

$$- j = j_1 \dots < j_k$$

- $i'$  complementary sequence to  $i$

$$- (2, 4)' = (1, 3) \text{ (if } n = 4\text{)}$$

- $\sigma(i)$  - sign of permutation which orders concatenation  $i \# i'$

$$- \sigma((1, 3)) = -1$$

$$- \sigma((3, 4)) = 1$$

$$\langle e^i, e^j \rangle = \sigma(i) \delta_{i,j}$$

- this shows non-degeneracy

there exists a uniquely determined  $*$  :  $\Lambda^k T^* M \rightarrow \Lambda^{n-k} T^* M$  such that

$$(\alpha, *\omega) = \langle \alpha, \beta \rangle$$

**Definition 6.1.**  $*$  is called the Hodge  $*$ -operator

at a point:

$$*e^i = \sigma(i)e^{i'}$$

- check:

$$- (e^i, *e^{j'}) = (e^i, e^j) = \delta_{i,j}$$

$$- \langle e^i, e^{j'} \rangle = \sigma(i, j')\delta_{i,j}$$

$$* * e^i = \sigma(i)\sigma(i')e^i$$

$$- \sigma(i)\sigma(i') = (-1)^{k(n-k)}$$

$$n = 4$$

$$- *e^1 = e^2 \wedge e^3$$

$$- *e^2 = -e^1 \wedge e^3 \wedge e^4$$

$$- *e^1 \wedge e^2 = e^3 \wedge e^4$$

## 6.2 The Hodge decomposition

$M$  manifold, vol - volume measure

-  $E \rightarrow M$  vector bundle

-  $h$  metric on  $E$

- get pairing on sections

$$- \phi \in \Gamma(M, E), \psi \in \Gamma_c(M, E)$$

$$(\phi, \psi) := \int_M h(m)(\phi(m), \psi(m))\text{vol}(m)$$

$F$  second vector bundle with metric

$D : \Gamma(M, E) \rightarrow \Gamma(M, F)$  - differential operator

- preserves supports

- restricts to

$$- D : \Gamma_c(M, E) \rightarrow \Gamma_c(M, F)$$

**Definition 6.2.** A formal adjoint of  $D$  is a differential operator  $D^* : \Gamma(M, F) \rightarrow \Gamma(M, E)$  such that  $(D\phi, \psi) = (\phi, D^*\psi)$  for all  $\phi \in \Gamma(M, E)$  and  $\psi \in \Gamma_c(M, F)$ .

a formal adjoint exists and is unique

locally

- in chart of  $M$

- trivialization of  $E, F$ ,

-  $e := \dim(E)$ ,  $f := \dim(F)$

-  $D = \sum_{k=0}^d \sum_{i \in I_k} a_i \partial^i$

- where

—  $I_k = \{i_1 \leq \dots \leq i_k\}$  - set of multi-indices

—  $a_i \in C^\infty(M, \text{Mat}(f, e))$

—  $\text{vol} = v dx$

$$\begin{aligned}
 (D\phi, \psi) &= \int_M \left( \sum_{k=0}^d \sum_{i \in I_k} a_i \partial^i \phi \right)^* \psi v dx \\
 &= \sum_{k=0}^d \sum_{i \in I_k} \int_M \partial^i \phi^* \cdot a_i^* \cdot \psi v dx \\
 &= \sum_{k=0}^d \sum_{i \in I_k} (-1)^k \int_M \phi^* \cdot v^{-1} \partial^i (v a_i^* \cdot \psi) v dx \\
 &= \int_M \phi^* \cdot \left( \sum_{k=0}^d \sum_{i \in I_k} (-1)^k v^{-1} \partial^i (v a_i^* \cdot \psi) \right) v dx \\
 &= (\phi, D^* \psi)
 \end{aligned}$$

read off:

$$D^* \psi = \sum_{k=0}^d \sum_{i \in I_k} (-1)^k v^{-1} \partial^i (v a_i^* \cdot \psi) = \sum_{k=0}^d \sum_{i \in I_k} a_i' \partial^i \psi$$

- use Leibnitz rule for second equality

consider  $d_k : \Omega^k(M) \rightarrow \Omega^{k+1}(M)$

**Definition 6.3.** *The formal adjoint of  $d_k$  is  $\delta_k : \Omega^{k+1}(M) \rightarrow \Omega^k(M)$ .*

note  $d_{k+1} \circ d_k = 0$  implies

$$\delta_k \circ \delta_{k+1} = d_k^* \circ d_{k+1}^* = (d_{k+1} \circ d_k)^* = 0$$

**Lemma 6.4.**  $\delta_k = (-1)^{k+1} * d_{n-k-1} *$ .

*Proof.*  $\deg(\alpha) = k$ ,  $\deg(\omega) = k + 1$

$$\begin{aligned} (d_k \alpha, \omega) &= (-1)^{(k+1)(n-k-1)} (d_k \alpha, * * \omega) \\ &= (-1)^{(k+1)(n-k-1)} \int_M d_k \alpha \wedge * \omega \\ &= (-1)^{(k+1)(n-k-1)+k+1} \int_M \alpha \wedge d_{n-k-1} * \omega \\ &= (-1)^{(k+1)(n-k)+(n-k+1)(k+1)} \int_M \alpha \wedge * * d_{n-k-1} * \omega \\ &= (\alpha, (-1)^{k+1} * d_{n-k-1} * \omega) \end{aligned}$$

□

general fact:

$D$  differential operator between vector bundles  $E$  and  $F$

- $E, F$  with metrics
- $M$  with volume

**Lemma 6.5.** We have  $\ker(D) = \text{im}(D^*)^\perp$ .

*Proof.*  $\phi \in \ker(D)$  implies  $(\phi, D^* \psi) = (D\phi, \psi) = 0$  for all  $\psi$ , hence  $\phi \in \text{im}(D^*)^\perp$

$\phi \in \text{im}(D^*)^\perp$  implies  $(\phi, D^* \psi) = (D\phi, \psi) = 0$  for all  $\psi$ , hence  $\phi \in \ker(D)$

□

-  $d$  in  $\mathbb{N}$

**Definition 6.6.** We say that  $\text{ord}(D) \leq d$  if for any  $f_0, \dots, f_d$  in  $C^\infty(M)$  we have

$$[f_d, [f_{d-1}, \dots [f_0, D] \dots]] = 0 .$$

**Lemma 6.7.** *We have  $\text{ord}(D) \leq d$  if and only if  $M$  can be covered by charts and trivializations of the bundles such that locally*

$$D = \sum_{k=0}^d \sum_{i \in I_k} a_i \partial^i .$$

*Proof.* **exercise** □

if  $\text{ord}(D) \leq d$ , then

$$e^{tf} D e^{-tf} = \sigma_d(D)(f) t^d + \dots + \sigma_0(D)(f)$$

**Lemma 6.8.** *Assume that  $\text{ord}(D) \leq d$ . Then  $\sigma_d(D)(f)(x)$  only depends on  $df(x)$ . We have  $\sigma_d(D) \in \Gamma(M, S^d(T^*M) \otimes \text{Hom}(E, F))$ .*

*Proof.* estimate of order of  $e^{tf} D e^{-tf}$  in  $t$

-  $(\partial_t^k)|_{t=0} e^{tf} D e^{-tf} = [f, [f, \dots [f, D] \dots]] = 0$  ( $k$  commutators) for  $k \geq d + 1$

locally

-  $\sigma_d(D)(f)(x) = \sum_{(i_1 \leq \dots \leq i_d) \in I_d} \partial_{i_1} f(x) \dots \partial_{i_d} f(x) a_{i_1 \leq \dots \leq i_d}$

□

**Definition 6.9.**  $\sigma_d(D)$  is called the principal symbol of order  $d$  of  $D$ .

**Definition 6.10.** A differential operator  $D$  of order  $\leq d$  is called elliptic if  $\sigma_d(D)(\xi) : E_x \rightarrow F_x$  is invertible for all  $\xi$  in  $T_x^*M \setminus 0$  and  $x$  in  $M$ .

**Theorem 6.11** (from analysis, without proof!). *If  $D$  is an elliptic differential operator and  $M$  is compact, then*

$$\Gamma(M, E) \cong \ker(D) \oplus \text{im}(D^*) .$$

Moreover  $\dim \ker(D) < \infty$  and  $\ker(D) = \text{im}(D^*)^\perp$ .

note that  $D^*$  is also elliptic and hence  $\Gamma(M, F) \cong \ker(D^*) \oplus \text{im}(D)$ .

$M$  Riemannian manifold

**Definition 6.12.**

$$\Delta_k := \delta_{k-1} d_{k-1} + \delta_k d_k : \Omega^k(M) \rightarrow \Omega^k(M)$$

is called the Hodge Laplacian.

have  $\text{ord}(\Delta_k) = 2$

**Lemma 6.13.** *We have  $\sigma_2(\Delta_k)(\xi) = 2\|\xi\|^2$ .*

*Proof.*  $[f, d] = -\epsilon_{df}$

-  $\epsilon_\xi := \xi \wedge$

$[f, \delta] = [f, d^*] = [d, f]^* = \epsilon_{df}^* = i_{df}$

here is the argument for last equality

-  $i \in \{1, \dots, n\}, j \in I_{k-1}, h \in I_k$

-  $(\epsilon_{e^1}(e^j), e^h) = \delta_{\{1\}\#j, h} = (e^j, i_{e^1}e^h)$

$$\begin{aligned} [f, [f, \delta d]] &= [f, [f, \delta]d] + [f, \delta[f, d]] \\ &= [f, i_{df}d] + [f, \delta\epsilon_d] \\ &= i_{df}\epsilon_{df} + i_{df}\epsilon_{df} \\ &= 2i_{df}\epsilon_{df} \end{aligned}$$

analogously

$$[f, [f, d\delta]] = 2\epsilon_{df}i_{df}$$

have

$$2\epsilon_{df}i_{df} + 2\epsilon_{df}i_{df} = 2\|df\|^2$$

here is the argument

-  $(\epsilon_{e^1}i_{e^1} + \epsilon_{e^1}i_{e^1})e^j = e^j$

- if  $j$  contains 1, then first term contributes, other wise second term contributes

hence

$$\sigma_2(\Delta_k) = \|df\|^2$$

□

**Corollary 6.14.** *The Hodge Laplacian  $\Delta_k$  is elliptic.*

**Theorem 6.15.** *Let  $M$  be a compact Riemannian manifold. Then we have decompositions  $\Omega^k(M) \cong \text{im}(d_{k-1}) \oplus \text{im}(d_{k-1})^\perp$  and  $\Omega^k(M) \cong \text{im}(\delta_k) \oplus \text{im}(\delta_k)^\perp$ .*

*Proof.* show first assertion, the second is similar

consider Laplace operator  $\Delta_k := \delta_{k-1}d_{k-1} + \delta_k d_k : \Omega^k(M) \rightarrow \Omega^k(M)$

- elliptic and formally selfadjoint op
- Theorem 6.11 gives  $\Omega^k(M) \cong \ker(\Delta^k) \oplus \text{im}(\Delta^k)$
- $\omega \in \Omega^k$
- $\omega = \omega_0 + \Delta_k \omega'$  with
- $\Delta \omega_0 = 0$

the following is the desired decomposition:  $\omega = d_{k-1}\delta_{k-1}\omega' + (\omega_0 + \delta_k d_k \omega')$

- $d_{k-1}\delta_{k-1}\omega' \in \text{im}(d_{k-1})$
- show:  $(\omega_0 + \delta_k d_k \omega') \in \text{im}(d_{k-1})^\perp$
- $(d_{k-1}\beta, \omega_0 + \delta_k d_k \omega') = (\beta, \delta_{k-1}\omega_0 + \delta_{k-1}\delta_k d_k \omega')$
- $\delta_{k-1}\delta_k d_k \omega' = 0$  is clear

$$\begin{aligned} (\delta_{k-1}\omega_0, \delta_{k-1}\omega_0) &\leq (\delta_{k-1}\omega_0, \delta_{k-1}\omega_0) + (d_k \omega_0, d_k \omega_0) \\ &= (\omega_0, (d_{k-1}\delta_{k-1} + \delta_k d_k)\omega_0) \\ &= (\omega_0, \Delta_k \omega_0) \\ &= 0 \end{aligned}$$

□

the following is for compact  $M$ :

**Definition 6.16.** We define the space of harmonic forms by  $\mathcal{H}^k(M) := \ker(\delta_{k-1}) \cap \ker(d_k)$ .

**Theorem 6.17.** Assume that  $M$  is compact. We have a decomposition

$$\Omega^k(M) \cong \text{im}(d_{k-1}) \oplus \mathcal{H}^k(M) \oplus \text{im}(\delta_k) .$$

Furthermore,  $\text{im}(d_{k-1}) \oplus \mathcal{H}^k(M) = \ker(d_k)$  and  $\mathcal{H}^k(M) = \ker(\Delta_k)$ .

*Proof.*  $\Omega^k(M) \cong \text{im}(d_{k-1}) \oplus \text{im}(d_{k-1})^\perp$  and  $\Omega^k(M) \cong \text{im}(\delta_k) \oplus \text{im}(\delta_k)^\perp$ .

show orthogonality  $\alpha = d_{k-1}\alpha' \in \text{im}(d_{k-1})$

$\omega = \delta_k\omega' \in \text{im}(\delta_k)$

$\gamma \in \ker(\delta_{k-1}) \cap \ker(d_k)$

$$- (\alpha, \gamma) = (d_{k-1}\alpha', \gamma) = (\alpha', \delta_k\gamma) = 0$$

$$- (\omega, \gamma) = (\delta_k\omega', \gamma) = (\omega', d_k\gamma) = 0$$

$$- (\alpha, \omega) = (d_{k-1}\alpha', \delta_k\omega') = (\alpha', \delta_{k-1}\delta_k\omega') = 0$$

completeness:

$\theta \in \Omega^k(M)$

$$- \theta = \alpha + \sigma \text{ with } \alpha = d_{k-1}\alpha' \in \text{im}(d_{k-1}), \sigma \in \text{im}(d_{k-1})^\perp$$

$$- \sigma = \gamma + \delta_k\omega' \text{ with } \gamma \in \text{im}(\delta_k)^\perp$$

$\theta = d_{k-1}\alpha' + \gamma + \delta_k\omega'$  is desired decomposition

must show that  $\gamma$  is harmonic

$$- \text{claim: } \delta_{k-1}\gamma = 0$$

$$- \ker(\delta_{k-1}) = \text{im}(d_{k-1})^\perp$$

$$- \text{implies } \delta_{k-1}\sigma = 0.$$

$$- \text{since also } \delta_{k-1}\delta_k\omega' = 0 \text{ conclude:}$$



$$- \delta_{k-1}\gamma = 0$$

must show:  $d_k\gamma = 0$

$$- \ker(d_k) = \text{im}(\delta_k)^\perp \ni \gamma$$

$$\text{im}(d_{k-1}) \oplus \mathcal{H}^k(M) \subseteq \ker(d_k)$$

$\text{im}(\delta_k) \perp \ker(d_k)$  implies

$$\text{im}(d_{k-1}) \oplus \mathcal{H}^k(M) = \ker(d_k)$$

-  $\Delta_k(\mathcal{H}^k) = 0$  is clear

- vice versa: assume  $\Delta_k\omega = 0$

$$- \text{then } 0 = (\Delta_k\omega, \omega) = \|d_k\omega\|^2 + \|\delta_{k-1}\omega\|^2$$

- hence  $\omega \in \ker(d_k) \cap \ker(\delta_k) = \mathcal{H}^k(M)$

□

**Corollary 6.18.** *If  $M$  is compact, then  $\mathcal{H}^k(M) \rightarrow \ker(d_k) \rightarrow H_{\text{dR}}^k(M)$  is an isomorphism. In particular, every class  $[\omega]$  in  $H_{\text{dR}}^k(M)$  has a unique representative  $\omega$  in  $\mathcal{H}^k(M)$  characterized by the additional equation  $\delta_{k-1}\omega = 0$ .*

**Example 6.19.**  $M = G/K$  compact symmetric

$$- \mathcal{H}^k(M) = \Omega^k(M)^G$$

speciality:  $\mathcal{H}^*(M)$  is an algebra

in general: the wedge product of harmonic forms is not necessarily harmonic

□

**Definition 6.20.**  $M$  is called formal if there exists a zig-zag of quasi-isomorphisms of differential-graded algebras

$$H_{\text{dR}}^*(M) \rightarrow A_1 \leftarrow A_2 \rightarrow \cdots \rightarrow \Omega^*(M) .$$

**Corollary 6.21.** *If  $M$  is closed and admits a Riemannian metric such that  $\mathcal{H}^*(M)$  is an algebra under  $\wedge$ , then  $M$  is formal.*

**Corollary 6.22.** *Compact symmetric spaces are formal.*

$M$  compact, oriented Riemannian

**Proposition 6.23.** *The Hodge  $*$ -operator preserves harmonic forms and  $*$  :  $\mathcal{H}^k(M) \rightarrow \mathcal{H}^{n-k}$  is the Poincaré duality isomorphism:  $*$  =  $(-1)^{k(n-k)}D$*

*Proof.*  $\omega \in \mathcal{H}(M)$

$$d * \omega = \pm * * d * \omega = \pm * \delta \omega = 0$$

$$\delta * \omega = \pm * * d * \omega = * d \omega = 0$$

- hence  $*\omega \in \mathcal{H}(M)$

$$\omega \in \mathcal{H}^k(M), \alpha \in \mathcal{H}^{n-k}(M)$$

$$\begin{aligned} (*\omega, \alpha) &= (\alpha, *\omega) \\ &= \int_M \alpha \wedge \omega \\ &= (-1)^{k(n-k)} D(\omega)(\alpha) \end{aligned}$$

□

**Example 6.24.**  $\dim(M) = 4m$

$$- * : \mathcal{H}^{2n}(M) \rightarrow \mathcal{H}^{2n}(M)$$

-  $\text{sign}(M) = \text{sign}(*)$  on  $\mathcal{H}^{2n}(M)$

### 6.3 De Rham cohomology of complex manifold

$M$  - manifold

$$T_{\mathbb{C}}M := TM \otimes \mathbb{C}$$

$$- \otimes := \otimes_{\mathbb{R}}$$

$$\text{use } \Lambda_{\mathbb{C}}^k(\mathbb{R}^n \otimes \mathbb{C}) \cong \Lambda_{\mathbb{R}}^k \mathbb{R}^n \otimes \mathbb{C}$$

$$\text{set: } A^k(M) := \Gamma(M, \Lambda_{\mathbb{C}}^k T_{\mathbb{C}}M) \cong \Gamma(M, \Lambda_{\mathbb{R}}^k T^*M \otimes \mathbb{R}) \cong \Omega^k(M) \otimes \mathbb{C}$$

- complex differential forms

-  $d : A^k(M) \rightarrow A^{k+1}(M)$  - complex linear extension of de Rham differential

-  $- \otimes \mathbb{C}$  is exact functor

$$H_{\text{dR},\mathbb{C}}^k(M) := H^k(A^*(M), d) \cong H^k(\Omega^*(M) \otimes \mathbb{C}, d) \cong H^k(\Omega(M), d) \otimes \mathbb{C} \cong H_{\text{dR}}^k(M) \otimes \mathbb{C}$$

assume now that  $(M, I)$  is almost complex manifold

- write also  $I$  for induced complex structure on  $\text{End}(T^*M)$

-  $T_{\mathbb{C}}^*M \cong T^{*,1,0}M \oplus T^{*,0,1}M$

-  $T^{*,1,0}M$  -  $i$ -eigenspace of  $I \otimes \text{id}_{\mathbb{C}}$

-  $T^{*,0,1}M$  -  $-i$ -eigenspace of  $I \otimes \text{id}_{\mathbb{C}}$

complex conjugation:  $\overline{(-)} : T_{\mathbb{C}}^*M \rightarrow T_{\mathbb{C}}^*M$

-  $\overline{(-)} : T_{\mathbb{C}}^{*,1,0}M \xrightarrow{\cong} T_{\mathbb{C}}^{*,0,1}M$

-  $\overline{(-)} : T_{\mathbb{C}}^{*,0,1}M \xrightarrow{\cong} T_{\mathbb{C}}^{*,1,0}M$

$T_{\mathbb{C}}^*M \cong T^{*,1,0}M \oplus T^{*,0,1}M$  induces

$$\Lambda^k T_{\mathbb{C}}^*M \cong \bigoplus_{p+q=k} \Lambda^p T^{*,1,0}M \otimes \Lambda^q T^{*,0,1}M$$

- define  $\Lambda^{p,q} T_{\mathbb{C}}^*M := \Lambda^p T^{*,1,0}M \otimes \Lambda^q T^{*,0,1}M$

- set  $A^{p,q}(M) := \Gamma(M, \Lambda^{p,q} T_{\mathbb{C}}^*M)$

- then  $A^k(M) \cong \bigoplus_{p+q=k} A^{p,q}(M)$

how does  $d$  interact with this decomposition

**Lemma 6.25.**  $d : A^{p,q}(M) \subseteq A^{p-1,q+2}(M) + A^{p,q+1}(M) + A^{p+1,q}(M) + A^{p+2,q-1}(M)$

*Proof.* local argument

- choose basis  $e^1, \dots, e^n$  of  $T^{*,1,0}M$

- apply  $\overline{(-)}$  - get basis  $\bar{e}^1, \dots, \bar{e}^n$  of  $T^{*,0,1}M$

-  $f e^{i_1} \wedge \dots \wedge e^{i_p} \wedge \bar{e}^{j_1} \wedge \dots \wedge \bar{e}^{j_q}$  - in  $A^{p,q}(M)$

$$\begin{aligned} df &= \sum_k \partial_k f dx^k \wedge e^{i_1} \wedge \dots \wedge e^{i_p} \wedge \bar{e}^{j_1} \wedge \dots \wedge \bar{e}^{j_q} \\ &+ f \sum_{l=1}^p (-1)^l e^{i_1} \wedge \dots \wedge de^{i_l} \wedge \dots \wedge e^{i_p} \wedge \bar{e}^{j_1} \wedge \dots \wedge \bar{e}^{j_q} \\ &+ f \sum_{h=1}^q (-1)^{h+p} e^{i_1} \wedge \dots \wedge e^{i_p} \wedge \bar{e}^{j_1} \wedge \dots \wedge d\bar{e}^{j_h} \wedge \dots \wedge \bar{e}^{j_q} \end{aligned}$$

$dx^k \in A^{0,1}(M) + A^{1,0}(M)$

- first term in  $A^{p+1,q}(M) + A^{p,q+1}(M)$

$de^k, d\bar{e}^k$  is just 2-form, any bidegree

- second term in  $A^{p-1,q+2}(M) + A^{p,q+1}(M) + A^{p+1,q}(M)$

- third term in  $A^{p,q+2}(M) + A^{p+1,q}(M) + A^{p+2,q-1}(M)$  □

assume now that  $I$  is integrable

- study consequences for de Rham complex

- here is one

**Lemma 6.26.** *If  $I$  is integrable, then  $d : A^{p,q}(M) \subseteq A^{p+1,q}(M) \oplus A^{p,q+1}(M)$ .*

local structure

- by assumption on  $I$  have complex coordinates  $z_k = x_k + iy_k$

-  $dz^k := dx^k + idy^k$

-  $d\bar{z}^k := dx^k - idy^k$

-  $\partial_i := \partial_{z^i} := \frac{1}{2}(\partial_{x^i} - i\partial_{y^i})$

-  $\bar{\partial}_i := \partial_{\bar{z}^i} := \frac{1}{2}(\partial_{x^i} + i\partial_{y^i})$

- basis of  $\Lambda^{p,q}T_{\mathbb{C}}M$  is  $dz^{i_1} \wedge \dots \wedge dz^{i_p} \wedge d\bar{z}^{j_1} \wedge \dots \wedge d\bar{z}^{j_q}$

- but now  $ddz^i = 0$  and  $dd\bar{z}^i = 0$

- this shows Lemma 6.26

$$d = \sum_{i=1}^n (\epsilon_{dx^i} \partial_{x_i} + \epsilon_{dy^i} \partial_{y_i}) = \sum_{i=1}^n (\epsilon_{dz^i} \partial_i + \epsilon_{d\bar{z}^i} \bar{\partial}_i)$$

- set  $\partial := \sum_{i=1}^n \epsilon_{dz^i} \partial_i$  and  $\bar{\partial} := \sum_{i=1}^n \epsilon_{d\bar{z}^i} \bar{\partial}_i$

$$\partial : A^{p,q}(M) \rightarrow A^{p+1,q}(M)$$

$$\bar{\partial} : A^{p,q}(M) \rightarrow A^{p,q+1}(M)$$

have  $[\partial_i, \partial_j] = 0$  and  $[\bar{\partial}_i, \bar{\partial}_j] = 0$

- hence  $\partial^2 = 0$  and  $\bar{\partial}^2 = 0$

- hence  $0 = d^2 = (\partial + \bar{\partial})^2 = \bar{\partial}\partial + \partial\bar{\partial}$

get double complex  $(A^{*,*}(M), \partial, \bar{\partial})$

- interesting homological algebra, spectral sequences

**Definition 6.27.** *The  $p$ th Dolbeault-complex of  $M$  is the complex  $(\mathcal{A}^{p,*}(M), \bar{\partial})$ .*

**Definition 6.28.** *For  $p, q \in \mathbb{N}^2$  we define the Dolbeault cohomology  $H^{p,q}(M) := H^q((\mathcal{A}^{p,*}(M), \bar{\partial}))$  and the Hodge numbers  $h^{p,q}(M) := \dim H^{p,q}(M)$ .*

**Remark 6.29.**

$$\Omega_{\text{hol}}^p(M) := \ker(\bar{\partial} : A^{p,0}(M) \rightarrow A^{p,1}(M))$$

is the space of holomorphic  $p$ -forms

- complex of sheaves  $(A^{p,*}, \bar{\partial})$  is a soft resolution of sheaf  $\Omega_{\text{hol}}^p(M)$

-  $H^{p,q}(M) \cong H_{\text{sheaf}}^q(M, \Omega_{\text{hol}}^p)$

- Dolbeault cohomology calculates sheaf cohomology of the sheaf of holomorphic  $p$ -forms

□

**Example 6.30.**  $M$  compact complex surface  $\dim_{\mathbb{R}}(M) = 2$

- also called curve in algebraic geometry since  $\dim_{\mathbb{C}} M = 1$

-  $g$  - genus

Riemann Roch Theorem:

$$h^{0,0}(M) - h^{0,1}(M) = 1 - g$$

-  $h^{0,0} = 1$  (holomorphic functions are constant),  $h^{0,1}(M) = g$

$$h^{1,0}(M) - h^{1,1}(M) = 2g - 2 + 1 - g = g - 1$$

- What can one say about  $h^{1,0}(M)$  and  $h^{1,1}(M)$  separately?

Serre duality - see later

□

$f : M \rightarrow M'$  holomorphic.

**Proposition 6.31.**  $f$  induces map of double complexes  $f^* : (A^{*,*}(M'), \partial, \bar{\partial}) \rightarrow (A^{*,*}(M), \partial, \bar{\partial})$  and  $f^* : H^{*,*}(M') \rightarrow H^{*,*}(M)$ .

*Proof.*  $df$  commutes with  $I$

- it restricts to

-  $df \otimes \text{id}|_{T^{1,0}M} : T^{1,0}M \rightarrow f^*T^{1,0}M'$  and same for  $(0, 1)$

- hence  $f^*$  restricts to  $f^* : A^{p,q}(M') \rightarrow A^{p,q}(M)$

-  $f^*$  preserves  $d$  and hence  $\partial, \bar{\partial}$

□

**Remark 6.32.**  $\Omega^k(M) \otimes \mathbb{C}$  has decreasing filtration

$$- F^l \Omega^k(M) \otimes \mathbb{C} := \bigoplus_{p+q=k, p \geq l} A^{p,q}(M)$$

- compatible with  $d$

$$- d : F^l \Omega^k(M) \subseteq F^l \Omega^{k+1}(M)$$

- get filtration of  $H_{\text{dR}}(M) \otimes \mathbb{C}$  by images of  $\bigoplus_{p+q=k, p \geq l} H^{p,q}(M)$

the spectral sequence associated to this filtration is called the Hodge-de Rham spectral sequence.

zero page

-  $A^{p,q}(M)$ ,

$$- d_0 = \bar{\partial}$$

first page:

- $E_1^{p,q} := H^{p,q}(M)$
- $d_1 := \partial : H^{p,q}(M) \rightarrow H^{p+1,q}(M)$

conclude: estimate of Betti numbers

$$b^k(M) \leq \sum_p h^{p,k-p}(M)$$

check for surfaces:

- $1 = b^0(M) \leq h^{0,0}(M) = 1$
- $b^1(M) \leq 2g \leq h^{1,0} + h^{0,1}(M) = g + h^{1,0}(M)$
- hence  $h^{1,0}(M) \geq g$
- will see that we have equality here later
- $1 = b^2(M) \leq h^{1,1}(M)$
- $h^{1,1}(M) = h^{1,0}(M) + 1 - g \geq 1$  is compatible with □

Riemannian metric on  $M$

$M$  compact

- induces hermitean metric on  $\Lambda^{p,q}T_{\mathbb{C}}M$
- can define  $\bar{\partial}^*$  - formal adjoint of  $\bar{\partial}$
- $\bar{\Delta} := \bar{\partial}^*\bar{\partial} + \bar{\partial}\bar{\partial}^*$
- $\bar{\Delta}$  is elliptic

**Theorem 6.33.** *If  $M$  is compact, then we have a decomposition  $A^{p,q}(M) \cong \text{im}(\bar{\partial}_{q-1}) \oplus \mathcal{H}^{p,q}(M) + \text{im}(\bar{\partial}_q^*)$ . Furthermore we have an isomorphism  $H^{p,q}(M) \cong \mathcal{H}^{p,q}(M)$  and  $h^{p,q} = \dim H^{p,q}(M) < \infty$ .*

- in general:  $h^{p,q}$  is sensitive to complex structure, difficult to calculate

## 6.4 The Kähler package

$M$  manifold

- $2n := \dim_{\mathbb{R}}(M)$
- $I$  almost complex structure
- $g$  metric such that  $I = -I^*$
- $\omega = g(I, -, -)$  - Kähler form in  $\Omega^2(M)$

define  $L : \Lambda^k T^* M \rightarrow \Lambda^{k+2} T^* M$

- $L(\alpha) = \omega \wedge \alpha$

**Lemma 6.34.**  $L(\Lambda^{p,q} T_{\mathbb{C}}^* M) \subseteq \Lambda^{p+1, q+1} T_{\mathbb{C}}^* M$

*Proof.* must show:  $\omega \in A^{1,1}(M)$

choose local ONB of the form  $(e^j, Ie^j)_{j=1, \dots, n}$  of  $TM$

$$g = \sum_{j=1}^n (e^j \otimes e^j + Ie^j \otimes Ie^j)$$

$$\begin{aligned} \omega &= \sum_{j=1}^n (Ie^j \otimes e^j - e^j \otimes Ie^j) \\ &= \sum_{j=1}^n Ie^j \wedge e^j \\ &= \sum_{j=1}^n (ie^j + Ie^j) \wedge e^j \\ &= i \sum_{j=1}^n (ie^j + Ie^j) \wedge (-ie^j + \frac{1}{2}(ie^j + Ie^j)) \\ &= \frac{i}{2} \sum_{j=1}^n (ie^j + Ie^j) \wedge (-ie^j + Ie^j) \end{aligned}$$

- $I(ie^j + Ie^j) = Iie^j - e^j = i(ie^j + Ie^j)$  hence  $(ie^j + Ie^j) \in T^{*,1,0}M$
- $I(-ie^j + Ie^j) = -Iie^j - e^j = -i(-ie^j + Ie^j)$  hence  $(-ie^j + Ie^j) \in T^{*,0,1}M$
- conclude:  $\omega \in A^{1,1}(M)$

□



define  $\Lambda := L^* : \Lambda^{k+2}T^*M \rightarrow \Lambda^kT^*M$

$X$  in  $TM$

- notation:  $\widehat{X} = g(X, -)$  - the dual 1-form to  $X$

**Lemma 6.35.** *We have  $[\iota_X, \Lambda] = 0$  and  $[\iota_X, L] = \epsilon_{\widehat{IX}}$ .*

*Proof.*  $[\iota_X, \Lambda]$  since  $\Lambda$  is even

$$[\iota_X, L] = \epsilon_{\iota_X \omega} = \epsilon_{g(IX, -)} = \epsilon_{\widehat{IX}}$$

□

recall:  $(M, g, I)$  Kähler if  $d\omega = 0$  (then also  $I$  is integrable)

**Lemma 6.36.** *If  $(M, I, g)$  is Kähler, then  $[L, d] = 0$  and  $[\Lambda, \delta] = 0$ .*

*Proof.*  $[L, d] = -d\omega \wedge - = 0$

take adjoints to get  $[\Lambda, \delta] = 0$

□

\* - Hodge \*

- consider  $\mathbb{C}$ -linear extension to  $\Lambda^*T_{\mathbb{C}}^*M$

**Lemma 6.37.** *\* restricts to maps  $* : \Lambda^{p,q}T_{\mathbb{C}}^*M \rightarrow \Lambda^{n-q, n-p}T_{\mathbb{C}}^*M$ .*

*Proof.* use basis  $dz^1, \dots, dz^n, d\bar{z}^1, \dots, d\bar{z}^n$

□

recall:  $d = \partial + \bar{\partial}$

-  $\partial : A^{p,q}(M) \rightarrow A^{p+1,q}(M)$

-  $\bar{\partial} : A^{p,q}(M) \rightarrow A^{p,q+1}(M)$

- consider formal adjoints:  $\partial^*$  and  $\bar{\partial}^*$

- define  $\Delta^{\partial} := \partial\partial^* + \partial^*\partial$ ,  $\Delta^{\bar{\partial}} := \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}$

- both preserve summands  $A^{p,q}(M)$  of  $A^{p+q}(M)$

**Theorem 6.38.** *If  $(M, I, g)$  is Kähler, then  $\Delta = 2\Delta^{\partial} = 2\Delta^{\bar{\partial}}$ . In particular  $\Delta$  preserves  $A^{p,q}(M)$ ,*

define

$$d^c := [L, \delta] : \Omega(M) \rightarrow \Omega(M)[1]$$

$$\delta^c := (d^c)^* = [d, \Lambda] : \Omega(M)[1] \rightarrow \Omega(M) .$$

calculate local formula at some point  $p$

$(e^i)_{i=1, \dots, 2n}$  - local basis dual to  $(e_i)_{i=1, \dots, 2n}$

recall:  $d = \sum_i \epsilon_{e^i} \nabla_{e_i}$

- calculate formal adjoint of  $\nabla_{e_i}$
- use definition divergence  $\text{div} : \mathcal{X}(M) \rightarrow C^\infty(M)$
- $\text{div}$  is formal adjoint of  $\text{grad} : C^\infty(M) \rightarrow \mathcal{X}(M)$
- $(\text{div}(X), f) = (X, \text{grad}(f))$  for all  $f$  in  $C_c^\infty(M)$

$$\begin{aligned} \int_M (\nabla_{e_i} \alpha, \beta) \text{vol} &= \int_M e_i(\alpha, \beta) \text{vol} - \int_M (\alpha, \nabla_{e_i} \beta) \text{vol} \\ &= \int_M (\alpha, \beta) \text{div}(e_i) \text{vol} - \int_M (\alpha, \nabla_{e_i} \beta) \text{vol} \end{aligned}$$

- hence  $\nabla_{e_i}^* = -\nabla_{e_i} + \text{div}(e_i)$
- get  $\delta = \sum_i (-\nabla_{e_i} + \text{div}(e_i)) \iota_{e^i}$
- want to switch  $\iota_{e^i}$  to the left
- claim:  $\delta = -\sum_i \iota_{e^i} \nabla_{e_i}$
- consider  $u := \sum_i (-\nabla_{e_i} + \text{div}(e_i)) \iota_{e^i} - (-\sum_i \iota_{e^i} \nabla_{e_i})$
- $u$  is bundle endomorphism
- is independent of choice of basis  $(e_i)$
- fix point  $p$  in  $M$
- at  $p$  can assume that  $e_i$  (and hence  $e^i$ ) are parallel
- at this point  $\text{div}(e_i) = 0$  and  $[\iota_{e^i}, \nabla_{e^i}] = 0$

— hence  $u(p) = 0$

— since  $p$  is arbitrary conclude  $u = 0$

$M$  Kähler implies  $\nabla\omega = 0$  and hence  $[L, \nabla] = 0$

$$d^c = [L, \delta] = - \sum_i [L, \iota_{e_i} \nabla_{e_i}] = - \sum_{i=1}^{2n} [L, \iota_{e_i}] \nabla_{e_i} = \sum_{i=1}^{2n} \epsilon_{Ie_i} \nabla_{e_i}$$

now use complex coordinates

- write  $z^k = x^k + iy^k$

-  $e^i := dx^i$ ,  $Ie^i := dy^i$

use  $-i\epsilon_{e^i + Ie^i} = \epsilon_{Ie^i + IIe^i}$

$$\partial = \sum_{i=1}^n \epsilon_{dz^i} \partial_i = \sum_i \epsilon_{(e^i + Ie^i)} \frac{1}{2} (\partial_{e_i} - i\partial_{Ie_i}) = \frac{1}{2} (\sum_{i=1}^n \epsilon_{(e^i + Ie^i)} \partial_{e_i} + \sum_{i=1}^n \epsilon_{(Ie^i + IIe^i)} \partial_{Ie_i})$$

use  $i\epsilon_{e^i - Ie^i} = \epsilon_{Ie^i - IIe^i}$

$$\bar{\partial} = \sum_{i=1}^n \epsilon_{d\bar{z}^i} \bar{\partial}_i = \sum_i \epsilon_{(e^i - Ie^i)} \frac{1}{2} (\partial_{e_i} + i\partial_{Ie_i}) = \frac{1}{2} (\sum_{i=1}^n \epsilon_{(e^i - Ie^i)} \partial_{e_i} + \sum_{i=1}^n \epsilon_{(Ie^i - IIe^i)} \partial_{Ie_i})$$

get

$$i(\bar{\partial} - \partial) = \sum_{i=1}^n \epsilon_{Ie^i} \partial_{e_i} + \epsilon_{IIe^i} \partial_{Ie_i} = d^c$$

$$i(\partial^* - \bar{\partial}^*) = \delta^c$$

$$[L, \partial^* + \bar{\partial}^*] = [L, \delta] = d^c = i(\bar{\partial} - \partial)$$

$$\text{part } A^{p,q}(M) \rightarrow A^{p+1,q}: [L, \bar{\partial}^*] = -i\partial,$$

$$\text{part } A^{p,q}(M) \rightarrow A^{p,q+1}: [L, \partial^*] = i\bar{\partial}$$

$$[L, \partial + \bar{\partial}] = [L, d] = 0$$

$$\text{part } A^{p,q}(M) \rightarrow A^{p+2,1}: [L, \partial] = 0$$

$$\text{part } A^{p,q}(M) \rightarrow A^{p+1,q+2}: [L, \bar{\partial}] = 0$$

take adjoints and get identities

$$[\Lambda, \bar{\partial}] = -i\partial^*, \quad [\Lambda, \partial] = i\bar{\partial}^*, \quad [\Lambda, \partial^*] = 0, \quad [\Lambda, \bar{\partial}^*] = 0$$

use  $\bar{\partial}^2 = 0$

$$-i(\bar{\partial}\partial^* + \partial^*\bar{\partial}) = \bar{\partial}[\Lambda, \bar{\partial}] + [\Lambda, \bar{\partial}]\bar{\partial} = 0$$

$$\text{analogously } -i(\partial\bar{\partial}^* + \bar{\partial}^*\partial) = 0$$

$$\begin{aligned} \Delta &= d\delta + \delta d \\ &= (\partial + \bar{\partial})(\partial^* + \bar{\partial}^*) + (\partial^* + \bar{\partial}^*)(\partial + \bar{\partial}) \\ &= \Delta^\partial + \Delta^{\bar{\partial}} \end{aligned}$$

remains to show:  $\Delta^\partial = \Delta^{\bar{\partial}}$

$$\begin{aligned} -i\Delta^\partial &= -i(\partial\partial^* + \partial^*\partial) \\ &= \partial[\Lambda, \bar{\partial}] + [\Lambda, \bar{\partial}]\partial \\ &= \partial\Lambda\bar{\partial} - \partial\bar{\partial}\Lambda + \Lambda\bar{\partial}\partial - \bar{\partial}\Lambda\partial \\ &= \partial\Lambda\bar{\partial} + \bar{\partial}\partial\Lambda - \Lambda\partial\bar{\partial} - \bar{\partial}\Lambda\partial \\ &= [\partial, \Lambda]\bar{\partial} + \bar{\partial}[\partial, \Lambda] \\ &= -i\bar{\partial}^*\bar{\partial} - i\bar{\partial}\bar{\partial}^* \\ &= -i\Delta^{\bar{\partial}} \end{aligned}$$

**Lemma 6.39.** *If  $(M, I, g)$  is Kähler, then  $[\Delta, L] = 0$ .*

*Proof.*  $d\omega = 0$

- part in  $A^{2,1}(M)$  is  $\partial\omega = 0$

use  $\Delta = 2\Delta^\partial$

$$[\Delta, L] = 2([\partial\partial^*, L] + [\partial^*\partial, L]) = 2\partial[\partial^*, L] + [\partial^*, L]\partial$$

- already shown:  $[\partial^*, L] = -i\bar{\partial}$

-  $\bar{\partial}\partial + \partial\bar{\partial} = 0$

get  $[\Delta, L] = 0$

□

**Corollary 6.40.** 1. If  $(M, I, g)$  is a compact Kähler manifold of complex dimension  $n$ , then we have an orthogonal decomposition  $\mathcal{H}^k(M) \cong \bigoplus_{p+q=k} \mathcal{H}^{p,q}(M)$

2.  $*$  induces an isomorphism  $\mathcal{H}^{p,q}(M) \cong \mathcal{H}^{n-q,n-p}(M)$  (Serre duality). In particular,  $h^{p,q}(M) = h^{n-q,n-p}(M)$ .

3. We have  $b^k(M) = \sum_{p+q=k} h^{p,q}(M)$  for every  $k \in \mathbb{N}$ .

4. We have  $0 \neq [\omega^l] \in \mathcal{H}^{l,l}(M)$  for  $l = 0, \dots, n$ . In particular,  $h^{l,l}(M) \geq 1$

5. Complex conjugation induces an isomorphism  $\mathcal{H}^{p,q}(M) \rightarrow \mathcal{H}^{q,p}(M)$  and  $h^{p,q}(M) = h^{q,p}(M)$ . In particular,  $b^{2k+1}(M) \in 2\mathbb{Z}$ .

6. The Hodge de-Rham spectral sequence degenerates at the  $E_1$ -term.

**Example 6.41.** Hodge numbers for connected complex curve, genus  $g$

-  $h^{0,1} = h^{1,0} = g$

-  $h^{0,0} = h^{1,1} = 1$

□

## 6.5 Lefschetz theory

start with some linear algebra with hermitean vector spaces

$\mathbb{C}^n$  - with standard  $\mathbb{C}$ -basis  $(e_j)_{j=1,\dots,n}$

-  $\mathbb{R}$ -basis is  $(e_j, ie_j)_{j=1,\dots,n}$

-  $(e^j)_{j=1,\dots,n}$  - dual  $\mathbb{C}$ -basis

-  $(e^j, ie^j)_{j=1,\dots,n}$  - dual  $\mathbb{R}$ -basis

euclidean metric:  $g = \sum_{j=1}^n e^j \otimes e^j + ie^j \otimes ie^j$

- Kähler form  $\omega(-, -) = g(i-, -) = \sum_{j=1}^n (ie^j \otimes e^j - e^j \otimes ie^j) = \sum_{i=1}^n ie^i \wedge e^i$

consider operators on  $\Lambda^* \mathbb{R}^{2n,*} \otimes_{\mathbb{R}} \mathbb{C} \cong \Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}$

- $L := \omega \wedge - = \sum_{j=1}^n \epsilon_{ie^j} \epsilon_{e^j}$
- $\Lambda := L^* = \sum_{j=1}^n \iota_{e^j} \iota_{ie^j}$
- $\text{deg} : \Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C} \rightarrow \Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}$  - degree operator
- for  $\alpha \in \Lambda_{\mathbb{R}}^k \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}$ :  $\text{deg}(\alpha) = k$
- $N := \text{deg} - n$

**Lemma 6.42.** *We have  $[L, \Lambda] = N$ ,  $[N, L] = 2L$ ,  $[N, \Lambda] = -2\Lambda$ .*

*Proof.*  $L$  increases degree by 2

- $[\text{deg}, L] = 2L$
- take adjoint:  $[\Lambda, \text{deg}] = 2\Lambda$
- implies:  $[N, L] = 2L$ ,  $[N, \Lambda] = -2\Lambda$

let  $n_{eh} = \epsilon_{e^h} \iota_{e^h} \in \text{End}(\Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C})$

- $n_{eh}(e^{i_1} \wedge \dots \wedge e^{i_r} \wedge ie^{j_1} \wedge \dots \wedge ie^{j_s}) = \begin{cases} e^{i_1} \wedge \dots \wedge e^{i_r} \wedge ie^{j_1} \wedge \dots \wedge ie^{j_s} & h = i_l \text{ for some } l \\ 0 & \text{else} \end{cases}$
- $n_{ieh}(e^{i_1} \wedge \dots \wedge e^{i_r} \wedge ie^{j_1} \wedge \dots \wedge ie^{j_s}) = \begin{cases} e^{i_1} \wedge \dots \wedge e^{i_r} \wedge ie^{j_1} \wedge \dots \wedge ie^{j_s} & h = j_l \text{ for some } l \\ 0 & \text{else} \end{cases}$
- $\iota_{e^h} \epsilon_{e^h} = 1 - n_{eh}$ ,  $\iota_{ie^h} \epsilon_{ie^h} = 1 - n_{ieh}$

$$\begin{aligned}
[L, \Lambda] &= \sum_{j,k=1}^n (\epsilon_{iej} \epsilon_{ej} \iota_{ek} \iota_{ie_k} - \iota_{ek} \iota_{ie_k} \epsilon_{iej} \epsilon_{ej}) \\
&= \sum_{j=1}^n (\epsilon_{iej} \epsilon_{ej} \iota_{ej} \iota_{ie_j} - \iota_{ej} \iota_{ie_j} \epsilon_{iej} \epsilon_{ej}) \\
&= \sum_{j=1}^n \epsilon_{iej} \iota_{ie_j} n_{ej} - \sum_{j=1}^n \iota_{ej} \epsilon_{ej} (1 - n_{iej}) \\
&= \sum_{j=1}^n n_{iej} n_{ej} - \sum_{j=1}^n (1 - n_{ej})(1 - n_{iej}) \\
&= \sum_{j=1}^n (n_{ej} + n_{iej}) - n \\
&= \text{deg } -n \\
&= N
\end{aligned}$$

□

recall Lie algebra  $sl(2, \mathbb{R})$

- linear generators:  $L, \Lambda, N$

- relations:  $[N, L = 2L], [N, \Lambda] = -2\Lambda, [L, \Lambda] = N$

**Corollary 6.43.**  $\Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}$  carries a representation of  $sl(2, \mathbb{R})$ .

$sl(2, \mathbb{R})$  is semisimple

- every finite-dimensional complex representation completely decomposes into irreducible representations

- the list of irreducible representations up to isomorphism is  $(V_k)_{k \in \mathbb{N}}$

-  $\dim(V_k) = k + 1$

- weights (eigenvalues of  $N$ ) in  $V_k$  are  $-k, -k + 2, \dots, k - 2, k$

- lowest weight vector  $v_{-k}$

-  $(L^r v_{-k})_{r=0, \dots, k}$  is  $\mathbb{C}$ -basis of  $V_k$

- weight of  $L^r v_{-k}$  is  $2r - k$
- $L^{k+1} v_{-k} = 0$

provide explicit description

$SL(2, \mathbb{R})$  acts on  $\mathbb{C}^2$  - usual matrix multiplication

- acts on  $S^k(\mathbb{C}^{2,*})$  - homogenous polynomials on  $x, y$  of degree  $k$
- basis  $x^k, x^{k-1}y, \dots, xy^{k-1}, y^k$
- get action by  $sl(2, \mathbb{R})$  by differentiation

$$N := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, L := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \Lambda := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$e^{tN} = \begin{pmatrix} e^t & 0 \\ 0 & e^{-t} \end{pmatrix}$$

- $e^{tN} x = e^t x, e^{tN} y = e^{-t} y$
- $e^{tN} x^{k-l} y^l = e^{t(k-2l)} x^{k-l} y^l$
- $N x^{k-l} y^l = (k - 2l) x^{k-l} y^l$

$$e^{tL} = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}$$

- $e^{tL} x = x, Lx = 0, Ly = x$
- $L x^{k-l} y^l = l x^{k-l+2} y^{l-2}$

$y^k$  - generates  $S^k(\mathbb{C}^{2,*})$  under powers of  $L$

- is lowest weight vector of weight  $-k$
- conclude irreducibility
- $V_k \cong S^k(\mathbb{C}^{2,*})$  as  $sl(2, \mathbb{R})$ - representations

$W$  any finite-dimensional representation of  $sl(2, \mathbb{R})$

- have canonical  $sl(2, \mathbb{R})$  -equivariant decomposition  $W \cong \bigoplus_{k \in \mathbb{Z}} W_k$



- $W_k$  is isomorphic to a finite sum of copies of  $V_k$
- want to describe this explicitly

$W \cong \bigoplus_{k \in \mathbb{Z}} W(k)$  - weight decomposition (eigenvalues of  $N$ )

for  $k \in \mathbb{N}$

- $W(k)_- := \ker(L^{k+1} : W(-k) \rightarrow W(k+2))$  is the subspace of lowest weight vectors of  $W_k$
- get canonical  $sl(2, \mathbb{R})$ -equivariant isomorphism  $W(k)_- \otimes V_k \xrightarrow{\cong} W_k$
- uniquely determined by  $w \otimes v_{-k} \rightarrow w$
- explicitly:

$$\bigoplus_{r=0}^k L^r : \bigoplus_{r=0}^k W(k)_- \xrightarrow{\cong} W_k$$

- get  $sl(2, \mathbb{R})$ -equivariant isomorphism

$$\bigoplus_{k=0}^{\infty} \bigoplus_{r=0}^k W(k)_- \otimes V_k \xrightarrow{\cong} W$$

- explicitly

$$\bigoplus_{k=0}^{\infty} \bigoplus_{r=0}^k L^r : \bigoplus_{k=0}^{\infty} \bigoplus_{r=0}^k W(k)_- \xrightarrow{\cong} W$$

apply this to  $\Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}$

recall:  $N = \deg -n$

$$- (\Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C})(k) = \Lambda_{\mathbb{R}}^{n+k} \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}$$

for  $k \leq n$  set

$$- \text{set } (\Lambda_{\mathbb{R}}^{n-k} \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C})_{\text{prim}} := (\Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C})(-k)_-$$

**Corollary 6.44.** 1. For  $k \leq n$  we have an isomorphism

$$L^r : (\Lambda_{\mathbb{R}}^{n-k} \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}) \rightarrow (\Lambda_{\mathbb{R}}^{n+k} \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}) .$$

2. We have a decomposition

$$\bigoplus_{k=0}^{\infty} \bigoplus_{r=0}^k L^r : \bigoplus_{k=0}^{\infty} \bigoplus_{r=0}^k (\Lambda_{\mathbb{R}}^{n-k} \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C})_{\text{prim}} \xrightarrow{\cong} \Lambda_{\mathbb{R}}^* \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}$$

note:

$$\bigoplus_r L^r : \bigoplus_{r=0}^{\min(n-l/2, l/2)} (\Lambda_{\mathbb{R}}^{l-2r} \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C})_{\text{prim}} \xrightarrow{\cong} \Lambda_{\mathbb{R}}^l \mathbb{C}^{n,*} \otimes_{\mathbb{R}} \mathbb{C}$$

applies to  $\Lambda^* T_{\mathbb{C}}^* M$  fibrewise

$L, \Lambda, N := \text{deg} -n$  define an action of  $sl(2, \mathbb{R})$  by bundle endomorphisms

$[L, d] = 0$  from Kähler condition

**Corollary 6.45.** 1. For  $k \leq n$  the operator  $L^k : A^{n-k}(M) \rightarrow A^{n+k}(M)$  is an isomorphism.

2. It induces an isomorphism (Hard Lefschetz)

$$H_{\text{dR}, \mathbb{C}}^{2n-k}(M) \rightarrow H_{\text{dR}, \mathbb{C}}^{2n+k}(M)$$

3. It restricts to isomorphisms

$$H_{\text{dR}, \mathbb{C}}^{n-p, n-q}(M) \rightarrow H_{\text{dR}, \mathbb{C}}^{n+q, n+p}(M) .$$

**Definition 6.46.** For  $k \leq n$  Define

$$A^{n-k}(M)_{\text{prim}} := \ker(L^{k+1} : A^{n-k}(M) \rightarrow A^{n+k+1}(M)) ,$$

$$H^{n-k}(M)_{\text{dR}, \mathbb{C}, \text{prim}} := \ker(L^{k+1} : H_{\text{dR}, \mathbb{C}}^{n-k}(M) \rightarrow H_{\text{dR}, \mathbb{C}}^{n+k+2}(M))$$

and for  $p + q = n - k$

$$H^{p,q}(M)_{\text{dR}, \mathbb{C}, \text{prim}} := \ker(L^{k+1} : H_{\text{dR}, \mathbb{C}}^{p,q}(M) \rightarrow H_{\text{dR}, \mathbb{C}}^{p+k+1, q+k+1}(M)) .$$

$L$  commutes with  $\Delta$

**Corollary 6.47.** *We have an isomorphisms*

$$\bigoplus_r L^r : \bigoplus_{r=0}^{\min(n-l/2, l/2)} A_{\text{prim}}^{l-2r}(M) \xrightarrow{\cong} A^l(M) ,$$

$$\bigoplus_r L^r : \bigoplus_{r=0}^{\min(n-l/2, l/2)} H_{\text{dR}, \mathbb{C}, \text{prim}}^{l-2r}(M) \xrightarrow{\cong} H_{\text{dR}, \mathbb{C}}^l(M)$$

and for and for  $p + q = l$

$$\bigoplus_r L^r : \bigoplus_{r=0}^{\min(n-l/2, l/2)} H_{\text{prim}}^{p-r, q-r}(M) \xrightarrow{\cong} H^{p, q}(M) .$$

**Corollary 6.48.**

$$\bigoplus_r L^r : \bigoplus_{r=0}^{\min(n-l/2, l/2)} \mathcal{H}_{\text{prim}}^{l-2r}(M) \xrightarrow{\cong} \mathcal{H}^l(M)$$

and for and for  $p + q = l$

$$\bigoplus_r L^r : \bigoplus_{r=0}^{\min(n-l/2, l/2)} \mathcal{H}_{\text{prim}}^{p-r, q-r}(M) \xrightarrow{\cong} \mathcal{H}^{p, q}(M) .$$

[Hel01][KN96a][KN96b][Voi07][Kob95]

## References

- [BT82] Raoul Bott and Loring W. Tu. *Differential forms in algebraic topology*, volume 82 of *Graduate Texts in Mathematics*. Springer-Verlag, New York-Berlin, 1982.
- [Hel01] Sigurdur Helgason. *Differential geometry, Lie groups, and symmetric spaces*, volume 34 of *Graduate Studies in Mathematics*. American Mathematical Society, Providence, RI, 2001. Corrected reprint of the 1978 original.
- [KN96a] Shoshichi Kobayashi and Katsumi Nomizu. *Foundations of differential geometry. Vol. I*. Wiley Classics Library. John Wiley & Sons, Inc., New York, 1996. Reprint of the 1963 original, A Wiley-Interscience Publication.

- [KN96b] Shoshichi Kobayashi and Katsumi Nomizu. *Foundations of differential geometry. Vol. II*. Wiley Classics Library. John Wiley & Sons, Inc., New York, 1996. Reprint of the 1969 original, A Wiley-Interscience Publication.
- [Kob95] Shoshichi Kobayashi. *Transformation groups in differential geometry*. Classics in Mathematics. Springer-Verlag, Berlin, 1995. Reprint of the 1972 edition.
- [Voi07] Claire Voisin. *Hodge theory and complex algebraic geometry. I*, volume 76 of *Cambridge Studies in Advanced Mathematics*. Cambridge University Press, Cambridge, english edition, 2007. Translated from the French by Leila Schneps.